

Index

A

- Abandonment of application (see also Abandoned application; Petition to revive abandoned application; Reinstatement of inadvertently abandoned application)
 - after ex parte appeal—718.05; 1714.01(f)(i)
 - after inter partes proceeding—718.06
 - defined—718
 - express—611.02; 718.01
 - granting additional time to avoid—718.03(b)
 - incomplete response resulting in—718-718.03(c); 1713.01; 1714.01(f)(ii)
 - location of files—109.02
 - notice of, for failure to respond—718.04; 718.06
 - of multiple-basis application—806.02(d)
 - of multiple-class application—1403.05
 - ordering abandoned files—109.02
 - partial—718.02(a); 1402.13; 1403.05; 1904.03(d)
 - petition from examining attorney's holding of—1713.01
 - reconsideration of holding of—718.03(c)
 - through failure to file statement of use—718.04
 - through failure to prosecute appeal—718.05
 - through failure to respond to Office action—718.02; 718.03
- Abstracts of title—503.08(a)
- Acceptable Identification of Goods and Services Manual—1402.04
- Access by public to files
 - electronic images—109.01
 - paper files—109.02
- Accessibility
 - of assignment records—503.08
 - of files held by Office personnel—109
- Acquired distinctiveness (§2(f) claim)—1212 *et seq.*
 - as to a portion of the mark—1212.02(f)(i); 1212.09(b)
 - as to particular goods/services/classes—1212.02(f)(ii)
 - based on five years' use—1212.05-1212.05(d)
 - based on ownership of prior registrations—1212.04-1212.04(e)
 - concession that matter is not inherently distinctive—1212.02(b)
 - disclaimers in applications claiming §2(f)—1212.02(e)
 - establishing with actual evidence—1212.06-1212.06(e)(iv)
 - evidence submitted to establish—1212.06-1212.06(e)
 - first or only user—1212.06(e)(i)
 - five years of use as proof of—1212.05-1212.05(d)
 - in part—1212.02(f)-1212.02(f)(ii); 1212.09(b)
 - in §1(b) application—1102.04; 1212.09-1212.09(b)
 - in §44 application—1010; 1212.08
 - in §66(a) application—1212.08
 - in the alternative—1212.02(c)
 - printing of—817; 1212.10
 - prior registrations as proof of—1212.04-1212.04(e)

- unnecessary—1212.02(d)
- with respect to incapable matter—1212.02(i)
- Act of 1881—1601.04; 1602.02
- Act of 1905—1601.04; 1602.02
- Act of 1920—1601.05; 1602.03
- Act of 1946 (see Trademark Act of 1946)
- Action after issuance of notice of allowance and before filing of statement of use—807.03(j); 1107
- Action by affidavit/renewal examiner
 - upon examination of §8 affidavit—1604.15
 - upon examination of renewal application—1606.11
- Action by examining attorney (see also Examination)
 - after final refusal—715; 715.02; 715.03(a); 715.03(b)
 - after new search—206.04
 - all arguments by applicant to be addressed in—713.03
 - all outstanding refusals and requirements to be noted in—713.02
 - citation of decisions in—705.05
 - citation of registrations in—705.03
 - date of—705.07
 - evidence supporting refusal in—710.01-710.01(c)
 - form paragraphs in—705.01
 - incomplete response to—611.05(a)-(c); 718.03-718.03(c); 1713.01-1713.02; 1714.01(f)(ii)
 - language in—705.01
 - non-receipt of—717.02
 - piecemeal action to be avoided—704.01
 - raising new issues—706-706.01
 - returned—403; 717.01
 - review by supervisory examining attorney—705.06
 - “search clause” in—704.02
 - statutory language in—705.01
 - supplemental action—711.02
 - to be complete—704.01
- Address
 - changing address of owner of registration—1609.11
 - changing correspondence address—503.01(b); 609.02-609.02(f)
 - correspondence—609-609.04
 - for communications to the International Bureau—1906
 - for communications to U.S. Patent and Trademark Office (“USPTO”)—305.01
 - for electronic mail communications—304.08
 - for filing documents to be recorded in Assignment Division—503.04
 - of applicant—803.05
 - of assignee—502.02(a)-(b)
- Advisory statement cannot serve as foundation for final refusal—714.05(c)
- Aesthetic functionality—1202.02(a)(vi)
- Affidavit of continued use or excusable nonuse (§8) (See also Affidavit of continued use or excusable nonuse (§71))
 - appeal to federal court—1604.18(d)
 - combined with renewal application—1604.19; 1606.15
 - combined with §15 affidavit—1605.05
 - change from mark as registered—1604.13

character of use of mark—1604.10
 deficiencies, correction of—1604.06(b); 1604.17-1604.17(c)
 domestic representative, designation of—1604.14
 excusable nonuse—1604.11
 execution of—1604.08-1604.08(d)
 extension of protection to the United States (§71)—1613
 fee for filing—1604.06-1604.06(c)
 form for filing—1604.03
 goods and services set forth in—1604.09-1604.09(e)
 grace period—1604.04; 1604.06(b)
 nonuse—1604.11
 notice on registration certificate—1604.02
 Office action upon examination of—1604.15
 ownership—1604.07-1604.07(f)
 petition to Director—1604.18-1604.18(c)
 premature filing of—1604.04(a)
 recourse after refusal—1604.18-1604.18(d)
 response to Office action—1604.16
 requirements for—1604.05
 registrations in 20-year terms—1604.04(b)
 signature—1604.08-1604.08(d)
 specimen(s)—1604.12-1604.12(c)
 time for filing—1604.04; 1604.17(c)
 untimely filing cannot be cured—1712.02(a); 1604.17(c)
 use in commerce—1604.10
 who may file—1604.07
 Affidavit of continued use or excusable nonuse (§71)—1613 (*See also* Affidavit of continued use or excusable nonuse (§8))
 Affidavit of incontestability (§15)—1605-1605.06
 combined with §8 affidavit—1605.05
 fee—1605.04
 form for filing—1605.02
 premature—1605.03
 requirements for—1605.04
 §14 limitation independent of—1605.06
 registered extension of protection of international registration—1605.01; 1904.11
 substitute—1605.04
 Supplemental Register registrations not eligible—1605.01
 time for filing—1605.03
 Affidavit of use (*see* Affidavit of continued use or excusable nonuse)
 Affidavit under §8 (*see* Affidavit of continued use or excusable nonuse (§8))
 Affidavit under §71 (*see* Affidavit of continued use or excusable nonuse (§71))
 Agents—602.03-602.03(c)
 Agreement of drawing and foreign registration in §44 application—807.03(f); 807.12(b); 1011.01
 Agreement of drawing and specimen—807.12(a)-807.12(a)(iii); 1215.02(c)
 Allegations of use in §1(b) application (*see also* Amendment to allege use; Statement of use)—1103
 Alphabetical List, International—1401.02(c)
 Amendment of application
 after decision on appeal—1501.06

after final action—715; 715.01; 715.02
 after publication—806.03(j)-(j)(ii); 1505-1505.02(g)
 from Principal Register to Supplemental Register in §1(b) application—1102.03
 prohibited during period between issuance of notice of allowance and filing of
 statement of use, except to delete specified goods or services (§1(b)
 application)—1107
 to add or substitute a basis for registration—806.03-806.03(k)
 to change filing basis from §1(a) to §1(b)—806.03(c)
 to change filing basis from §1(b) to §44—806.03(g); 806.03(j)(i)-806.03(j)(iii)
 to change basis from §44 to §1(b)—806.03(d)
 to claim priority—806.03(b)
 to delete §1(b) basis—806.04-806.04(a)
 to different register—816-816.05; 1014; 1102.03
 Amendment of dates of use
 to reflect a change, must be verified—903.04
 upon amendment of identification—903.08
 Amendment of mark in registration—1609.02-1609.02(g)
 drawing—1609.02(b)
 fee required—1609.02
 in registered extension of protection—1609.02; 1904.13
 material alteration of mark not permitted—1609.02(a)
 specimen—1609.02(c)
 who may request—1609.02
 Amendment of mark on drawing—807.15
 amendment to cure “internal inconsistency” not permitted—807.14(d)
 in marks comprising domain names—1215.08-1215.08(b)
 in registered extension of protection—1609.02; 1904.02(j); 1904.13; 1906.01(i)
 in registration based on §1 or §44 application—1609.02-1609.02(g)
 in request for extension of protection of international registration—807.13(b);
 1609.02; 1904.02(j); 1904.13; 1906.01(i)
 in §44 application—1011.03
 may not result in material alteration—807.03(d); 807.14-807.14(e); 1609.02-
 1609.02(g)
 must be warranted by specimens or foreign registration—807.12(a)-807.12(a)(iii);
 807.12(b); 807.13
 not permitted in §66(a) application—807.13(b); 1609.02; 1904.02(j); 1906.01(i)
 procedures for—807.15; 807.16
 processing unacceptable amendments—807.17
 to color features—807.14(e)-807.14(e)(iii)
 Amendment of registration (*see also* Amendment of mark in registration)—1609-
 1609.09
 correction of mistake—1609.10-1609.10(b)
 dates of use—1609.07
 disclaimer—1609.05
 effect on limitation of grounds for cancellation—1609.08
 fee required—1609.02
 from Supplemental to Principal Register not permitted—1609.08
 of classification—1609.04
 of identification of goods/services—1609.03
 of mark—1609.02-1609.02(g)
 of owner’s address—1609.11

- of registered extension of protection—1609.01; 1609.02; 1609.03; 1609.04;
1904.13; 1906.01-1906.01(i)
- of registrations with color claim—1609.02(e)
- territorial restrictions—1609.06
- who may request—1609.01(a); 1609.01(b)
- Amendment to allege use—1104-1104.11
 - approval of—1104.11
 - blackout period—1103; 1104.03(b); 1104.03(c)
 - dates of use—1104.09(d)
 - drawing—1104.09(f)
 - examination of, by examining attorney—1104.09-1104.09(h)
 - execution of—1104.09(b)
 - ex parte appeals—1104.07
 - fee for filing—1104.09(g)
 - filed in conjunction with application—1104.05
 - filing during pendency of ex parte appeal—1104.07
 - form of—1104.02
 - identification of goods/services in—1104.09(c)
 - located after publication—1104.04
 - minimum requirements for—1104.01
 - ownership—1104.09(a)
 - requirements for—1104.08
 - review for compliance with minimum filing requirements—1104.01(a)-1104.01(c)
 - specimen—1104.09(e)
 - substantive refusals—1102.01
 - time for filing—1104.03
 - use in commerce—1104.09(d)
 - use on all goods/services before filing—1104.03(a)
 - withdrawal of—1104.10
- “America” or “American,” marks comprising—1209.03(n); 1210.02(b)(iv)
- Apostille—804.01(a)(i)
- Appeal to Trademark Trial and Appeal Board
 - amendment during—1501.05
 - amendment after decision on—1501.06
 - appealable matter—1501.01; 1704
 - application record must be complete prior to—710.01(c)
 - dismissal of—1501; 1714.01(f)(ii)
 - fee for—1501.04
 - in general—1501-1501.07
 - time period for—715.03(c); 1501
 - withdrawal of refusal during—1501.03
- Appeal briefs, applicants’—1501; 1501.02(a)
- Appeal briefs, examining attorneys’
 - failure to file—1501; 1714.01(f)(ii)
 - format for—1501.02(b); App. A
 - preparation of—1501.02(b)
- Appealable matter—1501.01
- Applicant
 - address of—803.05
 - application void if wrong party identified as—803.06; 1201.02(b)
 - association as—803.03(c)

- banking institution—803.03(a)
- citizenship of—803.04
- communication with applicant who is represented by attorney—601.02
- companies—803.03(c)
- corporation as—803.02(c); 803.03(c)
- correcting mistake in name of—1201.02(c)
- eligible under §44—1002-1002.05
- Federally recognized Indian tribe—803.03(j)
- foreign—803.03(i), Appendix D
- government bodies and universities as—803.03(f)
- individual as—803.02(a); 803.03(a)
- joint applicants as—803.03(d)
- joint venture as—803.02(b); 803.03(b)
- limited liability companies as—803.03(h)
- limited liability partnerships as—803.03(k)
- may not be changed—803.06; 1201.02(b)
- minor—803.01
- must be natural or juristic person—803.01
- name of—803.02
- operating divisions—1201.02(d)
- partnership as—803.02(b); 803.03(b)
- properly identifying the applicant—1201.02(a)
- sole proprietorship as—803.03(a)
- trusts, conservatorships and estates as—803.03(e)-803.03(e)(i)
- verification of—804-804.05
- who may apply—803.01
- Applicant's name—803.02
- Applicants, joint—611.06(a); 803.03(d)
- Application checklist—818
- Application files
 - electronic—109.03; 402-402.01
 - new, processing of—401.04
 - preparation of, for publication or registration of mark—817
 - to remain in Office—109
- Applications for international registration (see International applications for registration)
- Application for registration
 - abandoned (see *also* Abandoned application; Abandonment of application)—718
 - “amended”—702.01
 - approved for publication—1502
 - basis for (see Filing basis)
 - classification—1401-1401.08
 - combined (see Combined application)
 - concurrent use (see Concurrent use registration)
 - dates of use—903.01; 903.02
 - declaration in lieu of oath—804.01(b)
 - defective—204-204.03
 - establishing ownership of—502-502.03
 - filing basis (see Filing basis)
 - filing date (see Filing date (application))
 - form for filing—802

informal—204-204.03
 intent-to-use (see Intent-to-use applications)
 multiple-class (see Combined application)
 persons who can sign verification—804.04
 priority under §44(d)—806.01(c); 1003-1003.08
 should specify register on which registration is sought—801.02(a)
 signature—804-804.05
 specimen—904-904.07(b); 1301.04-1301.04(d)
 statutory averments—804.02
 suspended (see also Suspension of Office action)—716-716.06
 TEAS Plus—819-819.04
 types of—801-801.02(b)
 use in commerce—806.01(a)
 verification made in foreign country—804.01(a)(i)
 verification with oath—804.01(a)

Application serial number—401.02
 Article 6^{ter} of Paris Convention—1205.02
 Artist, name of, on original work of art—1202.09(b)
 Artists and authors, name of, as mark—1202.09-1202.09(b); 1301.02(b)

Assignee
 entitled to prosecute application or registration—501.05; 502.01
 establishing ownership in name of—502-502.03
 issuance of new registration certificate to—502.03
 issuance of original registration certificate in name of—502.02(a)-(b)
 not domiciled in United States—501.04
 stands in place of applicant or registrant—501.05

Assignment (see also Assignee)
 accessibility of records—503.08
 conditional—503.01(e)
 definition of—501.01
 documents—503.02; 503.03(a)
 establishing ownership—502-502.03
 examining attorney's action regarding—502.02(c)
 failure to record—501.03
 indexing against recorded document not permitted—503.07
 of intent-to-use application—501.01(a)
 of marks in applications or registrations—501.01
 of §1(b) application—501.01(a)
 of §44 application—501.04; 1006
 of §66(a) application—501.01(b); 501.07; 502.01; 502.02(b); 503.05; 503.03(a);
 1904.06; 1906.01(a)-1906.01(a)(iii)
 of extension of protection of international registration—501.01(b); 501.07;
 502.01; 502.02(b); 502.03; 503.05; 503.03(a); 1904.06; 1906.01(a)-
 1906.01(a)(iii)
 partial—501.06; 1110.11; 1615
 recording of (see Assignment, recording of)
 records open to public—503.08
 searching—104; 503.08
 written assignment required—501.02

Assignment, recording of
 address for submitting documents—503.04

automatic updating—504-504.05
 correction of errors in—503.06-503.06(f)
 correspondence address not changed by—503.01(b)
 cover sheet—503.03(e); 503.06(a)-503.06(a)(ii)
 date of—503.05
 documents eligible for—503.02
 electronic filing—503.03(a); 503.03(b); 503.03(e)
 effect of—503.01-503.01(e)
 errors, correction of—503.06-503.06(f)
 fee for—503.03(d)
 indexing not permitted—503.07
 not a determination of validity—503.01(c)
 of extension of protection of international registration must be filed International
 Bureau—503.03(a); 503.05; 503.06; 503.06(b); 504.05; 1904.06
 of international registration—1906.01-1906.01(a)(iv)
 original documents should not be filed—503.03(b)
 petitions to correct or expunge assignment records—503.06(f)
 procedure for—503.05
 requirements for—503.03-503.03(e)
 resubmission of documents for—503.05
 searching may be done on USPTO website—104; 503.08
Association
 name of—803.02(c)
 state or country of organization of—803.04
Assumed business name—803.02; 803.02(a); 803.02(b)
Attorney
 appearance by—602; 602.01
 associate power of—605.03
 Canadian—602.03(a)
 change of—604.03; 609.02; 609.02(a); 609.02(b)
 communication with—601.02; 604.03; 608.01; 609.01; 609.02; 707.01; 708.02
 communication with applicant who is represented by—601.02
 correspondence sent to—604.03; 609; 609.01; 609.02(a); 609.02(b)
 foreign—602; 602.03-602.03(e)
 Office may not aid in selection of—601.01
 powers of (see *also* Power of attorney)—602.01-605.04
 revocation of power of—606
 representation of owner of mark by—601-601.02
 signature of—611.01(a); 611.02
 suspended—608.02
 standards of conduct—603
 unauthorized practice—608
 withdrawal of—607
Author, name of, as mark—1202.09(a)-1202.09(a)(iii)
Averments, statutory—804.02

B

Background designs and shapes as mark—1202.11
 Banking institution as applicant—803.03(g)
 Basic Facts About Registering a Trademark—105

Basis for filing (see Filing basis)
 Blackout period—1103; 1104.03(b)-1104.03(c)
 Blinded Veterans Association—1205.01
 “Bona fide and effective industrial or commercial establishment”—1002.04
 Bona fide intention to use mark in commerce
 acceptable language for statement of—1101
 application filing basis, under §1(b)—806.01(b)
 continued—1101; 1108.02
 must be asserted in request for extension of time to file statement of use—
 1108.02(e)
 must be asserted in §44 application, even if use has commenced—1008
 must be asserted in §66(a) application—804; 804.05; 1904.01(c)
 must be asserted in written application—806.01(b)-806.01(d); 1101
 not necessary to repeat in multiple-basis application—806.02(g)
Bona fides of applicant, in asserting intent to use mark in commerce, not inquired
 into by examining attorney—1101
 Bona fide use in ordinary course of trade—901.02
 Buenos Aires Convention—App. B
 Bulky specimens—904.02(b)
 Business cards as specimens of service mark use—1301.04(c)
 Business trusts—803.03(e)(i)
 Business with Office to be transacted in writing—708.03; 709.03; 709.04; 709.05

C

Canadian attorneys and agents—602.03(a)
 Cancellation
 of inadvertently accorded filing date—204.01
 of international registration—1904.08
 of notice of allowance—1106.03
 of registration for certification mark—1306.05(b); 1306.07
 of registration for failure to file affidavit of continued use—1604; 1604.04
 of registration under §8—1604; 1604.04
 of registrations under §14 or §37—1607
 of registrations under §71—1904.10
 surrender of registration for—1608
 Catalogs as trademark specimens—904.03(h)
 Certificate of extension of protection of international registration—1904.05
 Certificate of mailing procedure
 effect of—305.02(e)
 eligible correspondence—305.02(a)
 correspondence returned by U.S. Post Office—305.02(g)
 date used to determine timeliness—305.02(e)
 location of certificate—305.02(c)
 mailing requirements and address—305.01
 non-receipt of correspondence by PTO—305.02(f)
 not available for filing international applications—305.02(a)
 not available for filing requests for transformation—305.02(a)
 not available for filing requests to record changes to an international
 registration—305.02(a)
 not available for filing responses to IB notices of irregularities—305.02(a)

- not available for filing subsequent designations—305.02(a)
- not available for filing trademark applications—305.02(a)
- wording of certificate—305.02(d)
- Certificate of registration
 - extension of protection of international registration—1904.05
 - indication that mark is on Principal Register in—1601.07
 - indication that mark is on Supplemental Register in—1601.07
 - issuance in name of assignee—502.02(a)-(b)
 - new, upon change of ownership—502.03
- Certificate of transmission (See Facsimile transmission, certificate of)
- Certification division of USPTO—See Document Services Branch
- Certification mark
 - amendment to different type of mark—1306.06(f)(vi)
 - authority of applicant to control use of geographic term—1306.02(b)
 - based on foreign registration—1306.08
 - cancellation of registration for—1306.07
 - cancellation of prior trademark registration as prerequisite to issuance of certification mark registration—1306.05(b)
 - certification statement in application to register—1306.06(f)(i)
 - certification versus performance of services and sale of goods—1306.04
 - characteristics of—1306.05
 - circumstances surrounding use—1306.05
 - classification of goods/services in application to register—1306.06(d); 1401.03(d); 1902.02(g)(ii); 1904.02(b)
 - collective mark, distinguished from certification mark—1306.09(a)
 - definition of—1306.01
 - distinguished from other types of marks—1306.01; 1306.09(a); 1306.09(b)
 - drawing requirements—1306.06(a)
 - examination of application to register—1306.06-1306.06(f)(vi)
 - exercise of control—1306.06(f)(iii)
 - foreign registration, basis for registration of certification mark—1306.08
 - geographic term used to designate regional origin—1210.09; 1306.02-1306.02(c)
 - geographically deceptive term not permitted—1306.02
 - government as applicant for—1306.02(c)
 - grounds for cancellation under §14, applicability to examination—1306.07
 - identification of goods or services—1306.06(e)
 - indication of regional origin versus description of goods—1306.02(a) in §66(a) application—1904.02(d)
 - labor performed by specific group or individual, term used to certify that—1306.03
 - mark not used by applicant—1306.06(f)(v)
 - may be geographically descriptive—1306.02
 - not registrable as trademark or service mark—1306.05(a)
 - ownership of—1306.04
 - patent licenses, use of trademark or service mark by patent licensee distinguished from—1306.09(c)
 - purpose is to certify, not indicate source—1306.01(b)
 - quality control by owner not a requirement—1306.01(a)
 - related company use of trademark or service mark distinguished from—1306.09(b)
 - same mark not registrable as other type of mark—1306.05(a); 1306.05(b)

- specimens—1306.06(b); 1306.06(c)
- standards—1306.06(f)(ii)
- statement of control over use of mark—1306.06(f)(iii)
- statement of first use under authority of applicant—1306.06(f)(iv)
- statement that mark is not used by applicant—1306.06(f)(iv)
- statement of what mark certifies—1306.06(f)(i)
- title or degree not a certification mark—1306.03; 1306.06
- uncertainty as to type of mark—1306.09
- use is by others—1306.01(a); 1306.06(f)(iv); 1306.06(f)(v)
- verification language in application to register—1306.06(f)(iii)
- Certification or certified copy of foreign registration required for §44(e)—1004.01
- Chain of title—502.01; 502.02(a); 504.03
- Change of attorney—604.03
- Change of correspondence address—503.01(b); 609.02-609.02(f)
- Change of filing date of application—204.03; 205; 1711
- Character or person, as mark—1202.09-1202.09(c); 1202.10-1202.10(a); 1301.02(b)
- Checks, in general—405.02
- Check, returned unpaid
 - affidavit of continued use or excusable nonuse (§8)—405.06; 1604.06(c)
 - amendment to allege use—405.06; 1104.09(g)
 - application filing fee—202.03(a); 405.06
 - extension of time to file statement of use—405.06; 1108.02(c)
 - renewal fee—405.06; 1606.05(c)
 - statement of use—405.06; 1109.15(a)
- Checklist, application—818
- Citation of Decisions and USPTO Publications—705.05
- Citizenship of applicant—803.04
- Claim of priority (see *also* Priority under §44(d))
 - amendment to add—806.03(b); 1003.02
 - form of—806.01(e); 806.01(c); 1003; 1904.01(e)
 - in application for international registration—1902.05
 - in request for extension of protection of international registration—206.02; 1904.01(e)
- Claiming benefits of Act of 1946 under §12(c)—1603-1603.03
- Class headings—1401.02(a)
- Classification of goods/services
 - amendment of—707.02; 1401.03; 1401.03(b); 1401.04(b); 1403.02; 1403.02(b)
 - amendment after publication—707.02; 1109.14; 1505.02(b)
 - amendment after registration—1401.02; 1609.04
 - amendment from U.S. to international classification system—1401.02; 1601.06; 1609.04
 - amendment not permitted in §66(a) application—1401.03(d); 1505.02(b); 1609.04; 1904.02(b)
 - cannot be changed in §66(a) application—1401.03(d); 1505.02(b); 1609.04; 1904.02(b)
 - certification mark—1306.06(d); 1401.03(d); 1902.02(g)(ii); 1904.02(b)
 - changes based on 8th and 9th Nice Agreements—1401.09-1401.11
 - collective mark—1303.02(a)
 - collective membership mark—1304.08(c); 1401.03(d); 1902.02(g)(ii); 1904.02(b)
 - criteria for determining—1401.02(a); 1401.05
 - designation by applicant normally accepted—1401.03(a)

- designation by International Bureau in §66(a) application controls—1401.03(d); 1904.02(b)
- designation by Office—1401.03(b)
- in application for international registration—1902.02(g)-1902.02(g)(iii)
- in §66(a) application—1401.03(d); 1505.02(b); 1609.04; 1904.02(b)
- international system—1401.02-1401.02(c)
- plurality of uses—1401.07
- republishing not required after amendment—1505.02(b)
- services—1401.02(a); 1402.11
- short titles of classes—1401.02(b)
- should be designated by applicant, if known—1401.03
- specimens as related to—1401.06
- statutory authority for—1401.01
- Clear error—706.01; 1109.08
- Closings of USPTO, unscheduled—309
- Coat of arms, refusal to register mark comprising—1204
- Collateral estoppel—1217
- Collective mark, in general (see *also* Collective membership mark; Collective trademarks and service marks)
 - definition of—1302
 - history of—1302.01
- Collective membership mark
 - classification—1304.08(c); 1401.03(d); 1902.02(g)(ii); 1904.02(b)
 - control over use of—1304.08(f)(i); 1304.08(f)(ii)
 - definition—1302
 - distinguished from other types of marks—1302; 1304.01; 1304.08(a)(i); 1306.09(a)
 - examination of application to register—1304.08-1304.08(f)(iii)
 - history of—1304.01
 - identifications—1304.08(d)
 - in §66(a) application—1904.02(d)
 - ownership of—1302; 1304.04; 1304.05
 - purpose of—1304.02
 - refusal to register—1304.08-1304.08(f)(iii)
 - special elements of—1304.08(f)(i); 1304.08(f)(iii)
 - specimens for—1304.08(e)
 - title or degree designations compared with—1304.08(a)(i)
 - use is by members—1304.03; 1304.08(f)(iii)
 - who may apply—1304.04
- Collective organization
 - nature of collective organization—1304.06
 - use of collective mark by members of—1302; 1303.01
 - use of trademark or service mark by—1305
- Collective trademarks and service marks
 - control over use of—1303.02(c)(i); 1303.02(c)(ii)
 - classification of—1303.02(a)
 - definition—1302
 - distinguished from other types of marks—1302; 1303; 1305; 1306.09(a)
 - examination of application to register—1303.02-1303.02(c)(ii)
 - manner of control—1303.02(c)(i)
 - ownership of—1303

- special elements of—1303.02(c)(i); 1303.02(c)(ii)
- specimens for—1303.02(b)
- use is by members—1303.01; 1303.02(c)(ii)
- Color
 - amendment of color features of mark—807.14(e)-(e)(iii)
 - black, white or gray shown on drawing—807.07(d)-(f)
 - as a mark—1202.05-1202.05(h)
 - as a separable element of a mark—1202.05(c)
 - drawings of—807.07-807.07(e); 1202.05(d)-1202.05(d)(iv)
 - functional color marks not registrable—1202.05(b)
 - gray—807.07(d)-(f)
 - in the mark—807.07-807.07(e); 1202.05-1202.05(h)
 - lining—807.07(g); 808.01(b); 1902.02(e)
 - location statement—807.07(a)(ii)
 - must be claimed as feature of the mark—807.07(a); 807.07(a)(i)
 - specimens for marks comprising—904.02(c)(ii); 1202.05(f)
 - special considerations for color marks—1202.05(g)
- Color claim—807.07(a)-807.07(f)
- Columns or sections of publications, marks that identify—1202.07-1202.07(b)
- Combined affidavit under §§8 and 15—1605.05
- Combined application—1403-1403.05(c)
 - abandonment of—718.02(a); 1403.05
 - addition of class number(s) in—1403.02(c)
 - amendment of—1403.02
 - correction of class(es) in—1403.02(b)
 - deletion of class(es) from—1403.02(a)
 - division of (see *also* Division of application)—1403.03
 - filing fees for—810.01; 1401.04; 1403.06
 - refusal as to fewer than all classes in—1403.05
 - requirements for—1403.01
 - single certificate of registration issued, unless application divided—1403.01
 - specimens for—904.01(b)
- Commerce
 - bona fide use in ordinary course of trade—901.02
 - commerce that may be lawfully regulated by Congress—901.03
 - definition of—901.01
 - use in (see Use in commerce)
 - territorial—901.03
 - type of—901.03
 - with the United States—901.03
- Commercial name—1202.01
- Commissioner, change of title—1701
- Companion applications and registrations—702.03(a)-702.03(a)(iv)
- “Company”
 - does not identify legal entity in the United States—803.03; 803.03(c)
 - used in commonwealth countries to designate legal entity—803.03(i)
- Company, related (see Related company)
- Compliance with other laws—907
- Component or ingredient, mark used to identify—1402.05(a)
- Composition of goods—1402.05(b)
- Computer programs

- identification of—1402.03(d)
- specimens for—904.03(e)
- Computer services, identification of—1402.11(a)
- Computer software as service mark—1301.02(f)
- Computing period for response to action—310
- Concurrent use registration—1207.04-1207.04(f)(i)
 - not permitted in §44 and §66(a) applications—1207.04(b)
- Conditional assignment—503.01(e)
- Configuration of goods or packaging—1202.02-1202.02(d)
- Conflicting applications—702.03(b); 705.03; 718.07; 1207.01(d)(x); 1208-1208.02(f)
- Conflicting marks—702.03(b); 1207.01(d)(x); 1208-1208.02(f)
- Congressional inquiry—1802
- Consent agreement—714.05(d); 715.03(b); 1207.01(d)(viii)
- Consent to register by living individual—813-813.01(c); 1206-1206.05
 - Connection with goods or services—1206.02
 - Implicit consent—1206.04(d)
 - Must be personally signed—813; 1206.04(a); 1206.04(b)
 - Must be written consent to registration—1206.04(a)
 - Name or likeness is that of living individual—813.01(a); 1206.01
 - Names and likenesses that do not identify particular living individual—813.01(b); 1206.05
 - Presumed from signature of application—1206.04(b)
 - Not required if consent of record in prior registration—1206.04(c)
 - Printing of statement—813.01(a)-813.01(c)
 - Sample statements—813.01(a); 813.01(b)
 - Statement required in TEAS Plus application—813; 819.01(o)
 - Updating TRAM database—813.01(a)-813.01(c)
 - When inquiry required—1206.03
 - Written consent must be personally signed—813; 1206.04(a); 1206.04(b)
- Conservatorship as owner of mark—803.03(e)
- Constructive use—201.02; 1904.01(g)
- Control over nature and quality of goods/services—1201.01; 1201.03; 1201.03(a)
- Convention or treaty relating to trademarks—1001; 1002.01; 1002.02; 1900; App. B
- Copending (companion) applications—702.03(a)-702.03(a)(iv)
- Copies of documents, when acceptable for filing—302.01
- Copies of materials in files, requests for—111
- Copies of trademark applications and registrations, requests for—111
- Copying materials in files—109.03
- Corporation
 - address of—803.05
 - name of—803.02
 - non-profit—803.03(c)
 - signature on behalf of—611.06(d); 804.04
 - state or country of incorporation—803.04
 - tax-exempt—803.03(c)
- Correction of errors in cover sheet or recorded document—503.06-503.06(f)
- Correction of errors in international registration—1906.01(f)
- Correction of mistake in name of applicant—1201.02(c)
- Correction of mistake in registration—1609.10-1609.10(b)
- Correction of drawing—807.15-807.16

- Correspondence, returned as undeliverable—403
- Correspondence for trademark applications—302.03(a)
- Correspondence for trademark registrations—302.03(b)
- Correspondence address—609-609.04
 - applicant has duty to maintain current correspondence address—609.03
 - change of—609.02-609.02(f); 609.04
 - establishing—609.01
 - in §66(a) application—609.01(a)
 - signature of request to change—609.02(b); 611.02
- Correspondence with applicants not domiciled in United States—609.04
- Correspondence, with whom held—609-609.04
- Country of origin—1002.04
- Courier service, delivery of correspondence by—307
- Court orders concerning registrations—1610
- Cover sheet required for recording in Assignment Division—503.03(e)
- Credit card—405.01; 405.06
 - Charges refused or charged back—202.03(a)-202.03(a)(i); 405.06
- Cultivar names—1202.12

D

Date

- of filing (see Filing date (application); “Office Date” stamp)
- of first use in commerce—903.02
- of first use of mark—903.01
- of notarization of application—804.01(a)
- of receipt stamped on hand-delivered papers—303.01; 303.02(b); 307
- of signing of §2.20 declaration in application—804.01(a); 804.01(b); 804.03
- Date stamp (see “Office Date” stamp)
- Dates of use (see *also* Date of first use in commerce; Date of first use of mark)
 - amending—903.04
 - apparent discrepancies in—903.06(a)
 - in allegation of use, may be earlier than application filing date—903
 - in another form—903.07
 - indefinite—903.06
 - must be specified in amendment to allege use—903; 1104.09(d)
 - must be specified in §1(a) application—806.01(a); 903
 - must be specified in statement of use—903; 1109.09(a)
 - must be verified—903
 - pertain to at least one item in class—903.08
 - relation between—903.03
- DBA designations—803.02; 803.02(a); 803.02(c)
- De facto* functionality—1202.02(a)(iii)(B)
- De jure* functionality—1202.02(a)(iii)(B)
- Dead languages, translation of—809; 1207.01(b)(vi); 1209.03(g)
- Deadline for response, how to compute—310
- Deadline for response—711
- Deceptive matter—1203; 1203.02-1203.02(g); 1210.05-1210.05(c)(ii)
 - amending identification of goods or services to overcome §2(a) refusal

- case references—1203.02(g)
- determining materiality—1203.02(d)
- distinction between marks comprising deceptive matter and deceptively misdescriptive marks—1203.02(c)
- elements of §2(a) deceptiveness refusal—1203.02(b)
- marks comprising deceptive matter are not registrable—1203.02(f)(ii)
- mere personal preference—1203.02(d)(ii)
- objective criteria—1203.02(d)(i)
- procedure for issuing §2(a) deceptive refusals—1203.02(e)-1203.02(e)(ii)
- types of deceptive marks—1203.02(a)
- Deceptively misdescriptive geographic marks—1210.01(b); 1210.06(b); 1210.05(a); 1210.05(c)-1210.05(c)(ii)
- basis for refusal—1210.05(a)
- test—1210.01(b)-1210.01(c)
- Deceptively misdescriptive marks—1209.04
- Declaration under §8 (see Affidavit of continued use or excusable nonuse (§8))
- Declaration under §71 (see Affidavit of continued use or excusable nonuse (§71))
- Declaration in lieu of oath—804.01(b)
- Defective application materials—204-204.03
- Degrees or titles—1304.08(a)(i); 1306.03; 1306.06
- Delay between execution and filing of papers—804.03; 1104.09(b); 1108.02(b); 1109.11(c)
- Deletion
 - of items from identification—1104.09(c); 1107; 1108.02(d); 1109.13; 1402.06(a)
 - of matter from mark on drawing—807.14(a)
- Deposit accounts—405.03
- Description of mark
 - accurate but incomplete—808.03(c)-808.03(c)(ii)
 - application submitted without—808.03(b)
 - examination procedure for—808.03
 - guidelines for requiring—808.01
 - must be accurate and concise—808.02
 - of color in mark—807.07(a)(ii); 1202.05(c)
 - of location of mark on goods—808.01
 - of mark comprising configuration—808.01; 1202.02(c)(ii)
 - of mark in §66(a) application—1904.02(j)
 - of sensory mark—807.09
 - of significant aspects of the mark—808.02
 - of three-dimensional mark—807.10; 808.01
 - printed in *Official Gazette*—808.03
 - printed on registration certificate—808.03
 - printing of—808.03; 817; 1202.02(c)(ii)
- Descriptiveness, geographical—1210-1210.09
- Descriptiveness, mere—1209; 1209.01-1209.03(s)
 - “America” or “American”—1209.03(n)
 - combined terms—1209.03(d)
 - design or picture—1209.03(f)
 - domain names—1209.03(m); 1215.04; 1215.05
 - first user—1209.03(c)

- foreign equivalents—1209.03(g)
- function or purpose—1209.03(p)
- incongruity—1209.03(h)
- intended users—1209.03(i)
- laudatory terms—1209.03(k)
- more than one meaning—1209.03(e)
- “National,” “International,” “Global” and “Worldwide”—1209.03(o)
- no dictionary listing—1209.03(b)
- phonetic equivalent—1209.03(j)
- picture or illustration—1209.03(f)
- procedure for refusal—1209.02-1209.02(b)
- punctuation—1209.03(u)
- repetition of descriptive term—1209.03(t)
- retail store and distributorship services—1209.03(r)
- slogans—1209.03(s)
- source or provider of goods/services—1209.03(q)
- telephone numbers—1209.03(k)
- test of descriptiveness/genericness—1209.01(c)(i)
- third party registrations—1209.03(a)
- Destruction of files—109.02
- Diligence (see Due diligence in monitoring status of pending matters)
- Director, statutory authority of—1701
- Disclaimer
 - alliteration—1213.05(e)
 - as to certain classes, or certain goods or services—1213
 - compound word marks—1213.05(a)-1213.05(a)(ii)
 - display of mark—1213.05(f)
 - double entendre—1213.05(c)
 - form of—1213.08-1213.08(d)
 - history—1213.01
 - hyphens and other punctuation—1213.05(a)(ii)
 - incongruity—1213.05(d)
 - in relation to likelihood of confusion—1213.09; 1213.10
 - of domain name—1215.07
 - of entire mark not permitted—1213.06
 - of entity designations or the familial structure of an entity—1213.03(d)
 - of foreign words—1213.08(d)
 - of generic matter—1213.03(b)
 - of geographic terms—1210.06(a)l 1210.06(b)
 - of misspelled words—1213.08(c)
 - of pictorial representations of descriptive matter—1213.03(c)
 - of unregistrable matter in its entirety—1213.08(b)
 - piecemeal disclaimer not permitted—1213.08(b)
 - refusal to register because of failure to disclaim—1213.01(b)
 - removal rather than—1213.07
 - slogan not subject to—1213.05(b)
 - sound patterns—1213.05(e)
 - standardized printing format for—1213.08(a)(i)
 - telescoped words—1213.05(a)(i)
 - trade names—1213.04
 - unitary mark not subject to—1213.05-1213.05(f)

- voluntary—1213.01(c)
- with respect to unitary marks—1213.05-1213.05(f)
- Disparagement—1203.03-1203.03(d)
- Disrepute-1203; 1203.03-1203.03(f)
- Distinctiveness of trade dress—1202.02(b)
- Distinctiveness of product design—1202.02(b)(i)
- Distinctiveness of product packaging—1202.02(b)(ii)
- Distinctiveness under §2(f) (see Acquired distinctiveness (§2(f)) claim)
- Division
 - fee for—1110.02
 - of application—1110-1110.12
 - of application subject to Board proceeding—1110.09
 - of application under §44—1110.10
 - of application under §66(a)—1110.11
 - of international registration—1906.01(a)(iv)
 - of multiple class application—1403.03
 - of registration—1615-1615.02
 - time for filing—1110.03
 - when statement of use is due—1110.07
 - when response to Office action is due—1110.08
- Divisions, operating or unincorporated—803.01; 1201.02(d)
- Document Services Branch, Public Records Division—111
- Documents not returned to filer—404
- Documents that the Office will record—503.02
- Domain names, marks comprising—1209.03(m); 1215-1215.10
 - adding or deleting TLDs—1215.08(a)-(b)
 - agreement of mark on drawing with mark on specimen—1215.02(c); 1215.08-1215.08(b)
 - combined with geographic matter—1215.06
 - combined with surname—1211.01(b)(vii); 1215.03
 - descriptiveness—1209.03(m); 1215.04; 1215.05
 - disclaimer of—1215.07
 - effect of prior applicant's registration—1216
 - effect of incontestability in ex parte examination—1216.02
 - for domain name registry services—1215.02(d)
 - generic refusals—1209.03(m); 1215.05
 - intent-to-use applications—1215.02(e)
 - likelihood of confusion—1215.09
 - material alteration—1215.08-1215.08(b)
 - phonetic equivalent of TLD—1215.10
 - use as a mark—1215.02-1215.02(e)
 - use applications—1215.02(a)
 - §44 and 66(a) applications—1215.02(f)
 - Surnames—1215.03
- Domestic representative (of party not domiciled in United States)
 - designation by applicant—610; 811; 1013
 - designation by assignee—501.04
 - designation by renewal applicant—1606.10
 - mailing of correspondence to—609.04
 - with respect to affidavits under §8—1604.14
 - with respect to proceedings before Trademark Trial and Appeal Board—610

Drawing(s) (see also Special form drawing; Standard character drawing)

- agreement with foreign registration in §44 application—807; 807.03(f); 807.12(b); 1011.01
- agreement with specimens—807; 807.12(a)-807.12(a)(iii); 1215.02(c)
- amendments (see Amendment of mark on drawing)
- broken lines on—807.08; 1202.02(c)(i)
- clear drawing of—202.01
- code—807.18
- color—807.07-807.07(d)(iii); 1202.05(d)-1202.05(d)(iv)
- color lining—807.07(g); 808.01(b); 1902.02(e)
- deletion of matter from—807.14(a)
- determining when special form exists—807.04-807.04(b)
- dotted lines on—807.08; 1202.02(c)(i)
- electronically filed application—807.05-807.05(c)
- examination of—1102.02
- fax transmission not permitted—306.01
- for sensory marks—807.09
- gray tones in—807.07(d)-(d)(iii); 807.07(f)
- in applications filed before November 2, 2003—807.03(i); 807.07(f)
- in §44 application—807.03(f); 807.129(b); 1011-1011.03
- in §66(a) application—807.03(b); 807.03(g); 807.03(h); 807.07(b); 807.07(d)(ii); 807.07(f)(i); 807.12(c); 807.13(b); 1904.02(k)
- ink (see Special form drawing)
- limited to mark—807.02
- limited to one mark—202.01; 807.01; 1011.02
- lining—807.07(g); 808.01(b); 1902.02(e)
- long marks—807.05(a)(i); 807.06(b)
- mark drawing code—807.18
- mark entered in “Mark” field of TEAS application controls—202.01; 807.01; 807.14(d)
- mark on separate drawing page controls—202.01; 807.01; 807.14(d)
- motion, drawing of marks with—807.11
- multiple marks—202.01; 807.01
- multiple rendition of three dimensional mark—807.10
- not required for sound, scent or non-visual mark—807.09
- paper—807.06-807.06(c); 807.07(f)
- purpose of—807
- punctuation, role of—807.12(a)(i)-807.12(a)(iii); 807.14(c)
- required for marks other than sound and scent—807
- separate drawing page—807.06(b)
- showing position of mark—807.08
- size of—807.06(a)
- special form (see Special form drawing)
- standard character—807.03-807.03(i)
- substitute—807.15
- three-dimensional marks—807.10; 1202.02(c)(iv)
- type of paper for—807.06(a)
- typed (see Standard character drawing)

Dual citizenship asserted by applicant—803.04

Due diligence in monitoring status of pending matters—108.03; 1705.05; 1712.01; 1714.01(d)

Duplicate registrations not issued—703
Duration of registration—1602-1602.04

E

Educational institution as owner of mark—803.03(f)
Effective date
 of Madrid Protocol Implementation Act—1900 (introductory text)
 of Trademark Act of 1946—1601.02
 of Trademark Law Revision Act of 1988—1602.01
 of Trademark Law Treaty Implementation Act—1602.04
Effective filing date of application—206-206.02
Electronic filing through TEAS—301; 303.02(a)
 confirmation of receipt of—303.02(a)
 drawings—807.05 *et seq.*
 signature—301; 611.01(c)
 specimens—904.02(a)
Electronic funds transfer—405; 406
Electronic image files—109.01; 402
Electronic mail—304-304.10
 address for correspondence by—304.08
 attachments must be in .jpg or .pdf format—304.01; 304.07
 authorization of—304.03
 cannot be used to respond to examining attorneys' Office actions—304.02
 communications that may be filed by—304.01; 304.02
 filing date of—304.04
 outgoing, 304.06; 403; 717.01
 procedure—709.04
 signature of—304.07; 611.01(c)
Electronic signature—611.01(c)
English, application must be in—802
Enrollment and Discipline, Office of—602.03; 602.03(a); 602.03(b); 602.08; 720
Entity, legal—803.03-803.03(k); Appendix D
Entity of foreign applicants, common terms designating—803.03(i); 803.04; Appendix
 D
Equivalents, foreign—1207.01(b)(vi)-1207.01(b)(vi)(C); 1209.03(g); 1211.01(a)(vii)
Errors in cover sheet or recorded document, correction of—503.06-503.06(f)
Establishing ownership of applications or registrations—502-502.03
Estate as owner of mark—803.03(e)
European Community Trademark—App. B
Evidence with respect to refusal or requirement—710-710.03
 from Internet—710.01(b)
 from research database—710.01(a)
 indicating no refusal or requirement necessary—710.02
 of third party registration—710.03
 record must be complete prior to appeal—710.01(c); 1501.02(b)
 remand for introduction of new evidence—1504.05; 1504.05(a)
Examination
 checklist—818
 initial (*see also* Intent-to-use applications, initial examination of)—704.01; 1102-
 1102.04

- of related applications—702.03-702.03(b)
- of §66(a) application—1904.02-1904.09(b)
- order of—702.01
- procedure—704-704.03
- search—704.02; 718.07
- “second” examination (see Statement of use, examination of)
- “special” applications—702.02; 1710-1710.03
- statutory authority for—701
- suspended applications—716-716.06
- Examiner’s amendment
 - approval of—707.01
 - combined with priority action—708.05
 - form of—707.03
 - may not be issued as first action in §66(a) application—707
 - paralegal cannot authorize—608.01; 707.01
 - objection to amendment of identification of goods/services—1402.07(e)
 - to charge fee—405.03; 810.01
 - to enter standard character claim—707.02
 - when appropriate—707.01; 707.02
 - without prior authorization—707.02
- Examining attorneys’ appeal briefs (see Appeal briefs, examining attorneys’)
- Ex parte, contacts with third parties regarding ex parte matters—1806
- Express abandonment of application—608.01, 611.02; 718.01
- “Express Mail,” filing of correspondence by—305.03
- Extension of protection of international registration to the United States (see *a/so*)
 - Registered extension of protection; Section 66(a)
 - affidavit of continued use or excusable nonuse—1613; 1904.11
 - affidavit of incontestability—1605.01; 1904.11
 - amendments to—1904.13(a)
 - amendment to Supplemental Register not permitted—801.02(b); 815; 816.01; 816.04; 1904.02(f)
 - amendment of identification of goods/services—1402.01(c); 1609.01; 1904.02(c)-(c)(v); 1906.01(i)
 - amendment of mark not permitted—807.13(b); 1609.02; 1904.02(j); 1906.01(i)
 - assignability of—501.01(b)
 - assignee, issuance of registration certificate in name of—502.02(b); 502.03
 - assignment of—501.01(b); 501.07; 502.01; 502.02(b); 502.03; 503.05; 503.03(a); 1904.06; 1906.01(a)-1906.01(a)(iii)
 - basis—806.01(e); 806.03(k); 1505.02(f); 1904.01(a)
 - cancellation of, by IB—1904.08
 - can be amended under §7 only in limited circumstances—1609.01(a)
 - cannot be based on USPTO application—1904.01(h)
 - certificate of registration—1904.05
 - certificate of registration, issuance in name of new owner—502.02(b); 502.03
 - claim of acquired distinctiveness—1212.08

classification of goods and services—1401.03(d); 1403.02;
 1403.02(b); 1403.02(c); 1505.02(b); 1609.04; 1904.02(b);
 1904.02(c)-(c)(v)
 concurrent use registration not permitted—1207.04(b)
 constructive use—1904.01(g)
 correction of errors—1904.02(e)(i); 1904.03(f); 1904.13(b);
 1904.13; 1904.14; 1906.01(f)
 correspondence address—609.01(a); 1904.02(i)
 declaration of intent to use required—804.05; 1904.01(c)
 dividing—1110.11; 1615.02
 drawing—807.03(b); 807.03(g); 807.03(h); 807.07(b);
 807.07(d)(iii); 807.07(f); 807.12(c); 807.13(b); 1904.02(k)
 establishing right to take action—502.01
 examination of—1904.02-1904.09(b)
 express abandonment of—608.01, 611.02; 718.01
 filing basis—806.01(e); 806.03(k); 1505.02(f); 1904.01(a)
 filing date—201; 202; 203; 1904.01(b)
 filing fee—202.03; 810; 810.01; 1401.05(b); 1904.01(f)
 filing receipt—401.01
 identification of goods and services—1402.01(c); 1609.01;
 1904.02(c)-(c)(v); 1906.01(i)
 incontestability, affidavit of—1605.01; 1904.11
 invalidation of—1904.07
 issuance of registration certificate in name of new owner—
 502.02(b); 502.03
 jurisdiction—1504.04; 1904.02(l)
 limitation in list of goods/services—1904.02(e)(ii); 1904.03(g);
 1904.15; 1906.01(e)
 mark cannot be amended—807.13(b); 1609.02; 1904.02(j);
 1906.01(i)
 must be filed by holder of international registration—1201
 notice of refusal—1904.03-1904.03(g); 1904.04
 Office actions, issuing—1904.02(h)
 opposition—1503.01; 1503.05; 1504.02; 1504.05(a); 1904.04
 ownership, in general—501; 502; 1201; 1904.06
 ownership, change of—501.01(b); 501.07; 502.01; 502.02(b);
 502.03; 503.05; 503.03(a); 1904.06; 1906.01(a)-1906.01(a)(iii)
 priority claim—206.02; 1904.01(e)
 recording change of ownership—503.05; 1904.06; 1906.01(a)-
 1906.01(a)(iii)
 remains part of international registration—1904.05
 remand—1504.02; 1504.04; 1504.05(a)
 refusal of—711.02; 714.05(b); 1904.03; 1904.03(g); 1904.04
 renewal of—1602.01; 1606.01(b); 1614; 1905
 replacement—1616; 1904.12
 signature—804.04; 804.05; 1904.01(c)
 Supplemental Register, amendment to not a new issue—
 714.05(a)(i)
 Supplemental Register, not registrable on—801.02(b); 815;
 816.01; 816.04; 1904.02(f)
 transformation of—1904.09-1904.09(b)

use not required—901; 1904.01(d)
verification—804; 804.04; 804.05; 1904.01(c)
Extension of time to file statement of use (see Request for extension of time to file statement of use)
Extension of time to oppose—1503.04

F

Facsimile transmission, certificate of (see *also* Facsimile transmission, filing of correspondence by)
effect of—306.05(c)
eligible correspondence—306.01
non-receipt of correspondence by PTO—306.05(d)
not available for filing international applications—306.01
not available for filing requests for transformation—306.01
not available for filing requests to record changes to an international registration—306.01
not available for filing responses to IB notices of irregularities—306.01
not available for filing subsequent designations—306.01
not available for filing trademark applications—306.01
requirements for—306.05-306.05(c)
wording of certificate—306.05(b)
Facsimile transmission, filing of correspondence by (see *also* Facsimile transmission, certificate of)
documents excluded—306.01
documents included—306.01
effect of—306.03
not available for filing applications—306.01
procedure for—306.04; 306.05
False suggestion of connection—1203; 1203.03-1203.03(f); 1203.03(f)
Family of marks—1207.01(d)(xi)
Fax copies of documents, filing of—302.01
Fax transmission (see Facsimile transmission)
Federal holiday, response period ending on—308
Fee(s)
for correcting irregularities in international application—1902.07(b)(i)
electronic funds transfer—405.05
for filing amendment to allege use—1104.09(g)
for filing application—202.03; 810-810.02; 1401.04; 1403.01; 1403.05(b)
for filing ex parte appeal—1501.04
for filing international application—1902.02(i); 1903.02
for filing petition to revive abandoned application—1714-1714.01(b)
for filing request for transformation—1904.09
for filing request to record changes to an international registration—1906.01(a)(i)
for filing subsequent designation—1902.08(c)
for filing multiple-class application—810; 810.01; 1401.04; 1403.01; 1403.05(b)
for filing notice of opposition—1503.01
for filing request for extension of time to file statement of use—1108.02(c)
for filing statement of use—1109.15
for recording document in Assignment Division—503.03(d)
for request to divide—1110.02

- international, payment of—1903.02
- method of payment of—405
- number of—810; 810.01; 1401.04; 1403.01; 1403.05(b)
- refund of (see Refund of fees)
- refused by financial institution—405.06
- returned check—202.03(a)-202.03(a)(i); 405.06; 1104.09(g); 1108.02(c);
1109.15(a); 1604.06(c); 1606.05(c)
- Files, access to—109-109.03
- Files, destruction of—109.02
- Files, electronic—109.03; 402-402.01
- Filing basis
 - abandonment of multiple-basis application—806.02(d)
 - amendment after publication—806.03(j)-806.03(j)(ii); 1505.02(f)
 - amendment, effect on filing date—806.03(h); 806.04(b)
 - amendment from §1(a) to §1(b)—806.03(c)
 - amendment from §1(b) to §44—806.03(g)
 - amendment from §44 to §1(b)—806.03(d)
 - amendment of §66(a) basis not permitted—806.03(k); 806.03(l); 1505.02(f);
1904.01(a)
 - amendment, verification of—806.02(e); 806.03(i)
 - bona fide intention to use mark in commerce—806.01(b)-806.01(d); 806.02(e);
807.02(g)
 - changing—806.03-806.03(k)
 - changing after publication—806.03(j)-806.03(j)(ii)
 - claim of priority under §44(d)—806.01(c); 806.02(f); 806.03(b); 806.04(b); 1003
 - combining §1(a) and §1(b)—806.02(a); 806.02(b); 806.03(c)
 - combining §1 and §44—806.02(e); 806.02(f); 807.02(g); 806.03(d); 806.03(f);
806.04(b)
 - deletion of, in general—806.04
 - deletion of §1(b) basis—806.04-806.04(b)
 - extension of protection of international registration under §66(a)—806.01(e);
1904.01(a)
 - foreign registration under §44(e)—806.01(d); 806.02(e); 1004
 - intent-to-use—806.01(b); 806.02(b); 806.03(c); 806.03(d); 806.03(e); 806.03(g);
806.04(a)
 - multiple—806.02-806.02(f)
 - must be stated in written application—806
 - requirements for establishing—806.01-806.01(d)
 - review prior to publication or issue—806.05
 - specimen, deferral of examination in multiple-basis application—806.02(c)
 - use in commerce—806.01(a); 806.02(b); 806.03(c)
 - when basis can be changed—806.03(a)
- Filing date, application
 - cancelled if minimum requirements not met—204.01
 - change of—204.03; 205; 1711
 - denial of—204-204.03; 1711
 - effective—206-206.02
 - in extension of protection of international registration—1904.01(b)
 - minimum requirements for—202
 - of §66(a) application—1904.01(b)
 - priority—206.02; 806.01(c); 1003-1003.02; 1003.05

- request for restoration of—1711
- requirements for receiving—202-202.03
- restoration of—1711
- review for compliance with minimum requirements—203
- review of denial of—1711
- section 66(a) application—1904.01(b)
- what constitutes—201
- Filing date, for correspondence (in general)—205; 303.01; 304.04
- Filing date, for correspondence filed via electronic mail—304.04
- Filing date label—303.02(b)
- Filing fee, application—202.03; 810-810.02; 1403.01; 1403.05(b)
 - in §66(a) application—202.03; 810; 810.01; 1904.01(f)
 - varies depending on whether application filed on paper or through TEAS—202.03; 810; 1110.02; 1403.02(c)
- Filing of correspondence with USPTO—305.01
 - by courier or delivery service—307
 - by “Express Mail”—305.03
 - by fax (see Facsimile transmission, filing of certain papers and fee authorizations by)
 - by hand delivery—307
 - by mail—305-305.03
 - by unauthorized individual—608.01; 611.05-611.05(c)
 - certificate of mailing procedure for (see Certificate of mailing procedure)
 - certificate of transmission procedure for (See Facsimile transmission, certificate of)
 - time between execution and—804.03; 1104.09(b); 1108.02(b); 1109.11(c)
- Filing of correspondence with International Bureau—1906-1906.01(i)
- Filing receipt—401.01
- Final refusal
 - action after—715-715.04
 - for failure to provide information requested by examining attorney—814
 - issuance of, after removal of application from suspension—716.06
 - new issues requiring issuance of nonfinal action—714.05-714.05(c); 715.03(b)
 - not permissible on first action—714.01
 - not permissible on suspension—714.02
 - pertaining to identification—714.05(a)(ii)
 - pertaining to proposed amendment of drawing—714.05(a); 807.17
 - premature—714.06
 - proper response to—715.01
 - request for reconsideration after—715.03-715.04(b); 1714.01(a)(ii); 1714.01(f)(ii)
 - statement of grounds of—714.04
 - time for appeal runs from date of—715.03(c)
 - when appropriate—714.03; 714.05-714.05(c)
 - with respect to §2(f) claim—714.05(a)(i); 1212.02(h)
 - with respect to amendment to Supplemental Register—714.05(a)(i)
 - with respect to submission of consent agreement—714.05(d)
 - with respect to submission of substitute specimen—714.05(e)-(f)
- “Firm”—803.02(b)
- Flag, refusal to register mark comprising—1204; 1204.01-1204.01(e); 1204.03
- Flavor as mark—904.03(m); 1202.13
- Foreign applicant

- common terms designating entity of—803.03(i); Appendix D
- signature on behalf of—611.06(e); 804.04
- Foreign attorney—(See Attorney, foreign)
- Foreign equivalents, doctrine of—1207.01(b)(vi); 1209.03(g); 1210.10
- Foreign priority (see Priority under §44(d), application claiming)
- Foreign registration, application based on ownership of—1005
 - assignment of home country application or registration—1006
 - based on registration issued by a “common office of several states”—1002.03
 - bona fide intention to use mark in commerce—806.01(d); 806.02(e); 807.02(g); 1004; 1008
 - certification or certified copy of foreign registration required—806.01(d); 1004.01
 - country of origin—1002.04
 - dividing—1110.10
 - drawing—807.03(f); 807.12(b); 1011-1011.04
 - establishing entitlement under a treaty—1002.01; 1002.03
 - from applicant’s country of origin—1002.04
 - identification of goods/services—1012; 1402.01(b)
 - international registration as basis for §44 application—1016
 - may not be filed before foreign registration has issued—1004
 - must be in force—1004.01(a)
 - one mark per registration—1011.02
 - ownership of foreign registration—1005
 - requirements for receiving a filing date—202-202.03
 - requirements for §44(e) basis—806.01(d); 1002.01; 1004
 - specimen not required—1009
 - standard character claim in—807.03(f); 1011.01
 - status of foreign registration—1004.01(a)
 - suspension pending receipt of copy of foreign registration not permitted except in extraordinary situation—1004.01
 - translation of—1004.01(b)
 - use not required—1009
- “Foreign” commerce—901.03
- Form paragraphs—705; 705.01
- Forms, trademark—103; 301; 802
- Fragrance as mark—807.09; 1202.13
- Franchisees, use of mark by—1201.03(f)
- Fraud—720-720.01; 906.04
- Freedom of Information Act requests—1803
 - for copies of letters of protest—1715.05
- Functionality—1202.02(a)-1202.02(a)(v)(iii)

G

- General information booklet about trademarks—105
- Generic terms—1209.01(c)-1209.01(c)(iii); 1215.05
- Geographical indications used on wine or spirits—1210.08-1210.08(c)
- Geographic terms
 - “America” and “American”—1210.02(b)(iv)
 - arbitrary, fanciful or suggestive composite—1210.02(c)(iii)
 - arbitrary use of—1210.04(d)
 - as certification marks—1210.09; 1306.02-1306.02(c)

combined with additional matter—1210.02(c)-1210.02(c)(iii); 1210.06-1210.06(b)
 combined with domain name—1215.06
 disclaimer of—1210.06(a); 1210.06(b); 1210.06(b)
 geographic locations—1210.02(a)
 goods/place association—1210.04; 1210.04(a)
 misleading indication of origin of wines/spirits—1210.08
 more than one location with same name—1210.02(b)(ii)
 non-geographic characteristics—1210.02(b)(iii)
 obscure or remote—1210.04(c)
 origin of goods or services—1210.03
 other meanings—1210.02(b)(i)-(i)(A)
 primary geographic significance—1210.02
 registrability on Supplemental Register—1210.07(a)
 registrability under §2(f)—1210.07(b)
 registration of, under §2(f)—1210.07(b)
 services/place association—1210.04; 1210.04(b)
 two geographic terms combined—1210.02(c)(i)
 used as certification mark to designate regional origin—1306.02
 with surname significance—1210.02(b)(i)(A)

Geographical marks, deceptive (*See also* Geographically deceptively misdescriptive marks)—1203.02(c); 1210.01(b); 1210.01(c); 1210.05-1210.05(c)(ii)
 basis for refusal—1210.04(a)
 case references—1210.05(e)
 disclaimer—1210.06(b)
 elements of—1210.05(b)
 materiality of deception—1210.05(c)-1210.05(c)(ii)
 neither applicant nor goods/services come from the place named—1210.05(d)
 procedures for issuing refusal—1210.05(d)
 registrability on Supplemental Register—1210.07(a)
 registrability under §2(f)—1210.07(b)
 test—1210.01(c)
 used on wines and spirits—1210.08

Geographically deceptively misdescriptive marks (*See also* Geographical marks, deceptive)—1210.01(b); 1210.05(a)-1210.05(e); 1210.06(b)
 basis for refusal—1210.04(a)
 disclaimer—1210.06(b)
 materiality of deception—1210.05(c)-1210.05(c)(ii)
 registrability on Supplemental Register—1210.07(a)
 registrability under §2(f)—1210.07(b)
 test—1210.01(b)

Geographically descriptive marks—1210.01(a); 1210-1210.09
 combined with additional matter—1210.06-1210.06(b)
 disclaimer—1210.06(a)
 registrability on Supplemental Register—1210.07(a)
 registrability under §2(f)—1210.07(b)
 test—1210.01(a)

Geometric shapes—1202.11

Gift baskets, identification and classification—1401.05(a); 1902.02(g)(iii)

Good cause, showing of, in request for second or subsequent extension of time to file statement of use—1108.02(f)

Goods (*see* Identification of goods/services; Classification of goods/services)

Goods/place association—1210.04-1210.04(d)
Goods in trade—1202.06-1202.06(c)
Government insignia—1204; 1204.02-1204.02(d); 1204.03
Governmental body as owner of mark—803.03(f)
Grade designation—1202.16
Gray tones in drawings—807.07(d)-(d)(iii)
Greek cross—1205.01

H

Hague convention—804.01(a)(i)
Hand delivery of correspondence to USPTO—307
Hand delivery of correspondence relating to Madrid applications—1900
Heading or caption for papers—302.03(a); 302.03(b)
Holiday (see Federal holiday, response period ending on)
Hologram—807.01; 1202.14
House mark—1402.03(b)

I

Identification of goods/services
accuracy of—1402.05
ambiguous—1402.07(b)
amendment permitted to clarify or limit—1402.06-1402.06(b)
amendment of portion—1402.13
association services—1402.11(c)
award programs—1402.11(j)
bonus programs—1402.11(h)
broad terms in—1402.03; 1402.03(a)
certification mark—1306.06(e)
charitable services—1402.11(d)
clarification of—1402.06-1402.06(b)
components or ingredients—1402.05(a)
computer programs—1402.03(d)
computer services—1402.11(a)
consulting services—1402.11(e)
date of changes to USPTO ID manual—1401.12
deletion of items from—1104.09(c); 1107; 1108.02(d); 1109.13; 1402.06(a)
distribution of video tapes, audio tapes, and videodiscs—1402.11(f)
entitlement to filing date with respect to—202.02; 1402.02
final refusal pertaining to—714.05(a)(ii)
“full line of”—1402.03(c)
general guidelines—1402.01(a)
gift baskets—1401.05(a); 1902.02(g)(iii)
house mark—1402.03(b)
identical language in more than one class not permitted—1401.07
in amendment to allege use—1104.09(c)
in international application—1902.02(f)
in response to IB notice of irregularity—1902.07(c)(ii)
inappropriate in collective membership mark application—1304.08(d)
inclusive terminology in—1402.03(a)
industry terms—1402.11(i)

information services—1402.11(b)
 in request for extension of time to file statement of use—1108.02(d)
 in §44 application may not exceed scope of those in foreign registration—1012;
 1402.01(b)
 in §66(a) application—1402.01(c); 1904.02(c)-(c)(v)
 in statement of use—1109.13
 kits—1401.05(a); 1902.02(g)(iii)
 limitation of—1402.06-1402.06(a)
 location of—1402.01(d)
 Manual of acceptable identifications—1402.04
 medical vs. non-medical goods—1401.05(b)
 moving goods/services between companion applications—1402.08
 negative language in—1402.06(a)
 online publications—1402.11(a)
 “ordinary meaning” test for determining scope of—1402.07(a)
 parenthesis and brackets should not be used in—707.02; 1402.12
 printed publications—1402.03(e); 1402.11(a)
 recorded entertainment services—1402.11(g)
 registered marks may not be used in—1402.09
 required for application to receive a filing date—202.02; 1402.02
 services—1402.11
 scope of, for amendment purposes—1402.07; 1402.07(d); 1402.07(e)
 specificity of terms in—1402.01; 1402.03; 1402.03(e)
 trademarks/service marks inappropriate in—1402.09
 unacceptable amendments—1402.15
 unambiguous—1402.07(c)
 Immoral or scandalous matter—1203.01
 Improper use of registration notice—906.02
 Inadvertently issued registration—1109.15(a); 1503.04; 1609.10(a)
 Incomplete application materials—204-204.03
 Incomplete response to Office action—611.05(a)-(c); 718.03-718.03(c); 1713.01-
 1713.02; 1714.01(f)(ii)
 Incontestability
 affidavit of (§15) (see Affidavit of incontestability)
 effect in examination—1216.02
 Indexing against recorded document not permitted—503.07
 Individual as applicant
 citizenship of—803.04
 doing business under assumed name—803.02; 803.02(a); 803.02(c)
 name of—803.02(a)
 Individual, living, name or likeness of (see Consent to register by living individual)
 Individuals who may practice before Office in trademark matters—602-602.03(e)
 Informal application materials—204-204.03
 Informal communications with examining attorney—304.01; 709.05
 Information, examining attorney’s request for—814
 Informational matter
 as mark—1202.04; 1301.02(a)
 on drawing, deletion of—807.14(a)
 Initial examination to be complete—704.01; 1102-1102.04
 Inquiry
 by examining attorney—814

- from Congressional office—1802
- from member of press—1804
- from third party regarding ex parte matter—1806
- general inquiries from public—1805
- letter of, with respect to suspended application—716.05
- regarding status of pending matters—108-108.03; 1705.05
- Insignia, refusal to register mark comprising—1204
- Inspection of files on Office premises—109
- “Insurance” extension of time to file statement of use—1108.03-1108.03(a);
1109.16(c)-(d)
- Intent-to-use applications (*see also* Amendment to allege use; Notice of allowance;
Request for extension of time to file statement of use; Statement of use)
 - allegation of use required prior to registration—1103
 - assignment of—501.01(a)
 - distinctiveness under §2(f)—1102.04; 1212.09; 1212.09(b)
 - initial examination of:
 - color marks—1202.05(h)
 - configuration—1202.02(d)
 - drawings—1102.02; 1104.09(f); 1109.12
 - for use as mark—704.01; 1102-1102.04
 - identification of goods/services—1104.09(c); 1108.02(d); 1109.13
 - ornamentation—1202.03(e)
 - publication of—1105
 - under §2(d)—1102.01
 - under §§1, 2(a), 2(b), 2(c), 2(e)—1102.01
 - statutory averments—804.02; 806.01(b); 1101
 - Supplemental Register—1102.03
- Inter-American Convention—1001; App. B
- Interference, petition to declare—1208.03-1208.03(c); 1507
- “Internal inconsistencies,” amendment of drawing to cure not permitted—807.14(d)
- International Alphabetical List—1401.02(c)
- International applications for registration—1902-1902.07(f)
 - certification by USPTO—1902.03
 - classification in—1902.02(g)-1902.02(g)(iii)
 - color claim—1902.02(e)
 - description of mark—1902.02(k)
 - drawing—1902.02(d)
 - examination by IB—1902.06
 - fee for—1902.02(i)
 - form for filing—1902.02(a)
 - identification of goods and services—1902.02(f)
 - irregularities in—1902.07-1902.07(f)
 - requirements for receipt in USPTO—1902.02
 - requirements for registration by IB—1902.05
 - certification of—1902.03
- International Bureau—1900 (introductory text)
- International fees—1903.02
- International registrations—1901
 - application for—1902-1902.07(f)
 - as basis for §44 application—1016
 - cancellation of, by International Bureau—1904.08; 1906.01(e)

- central attack—1902.09
- changes to—1906.01-1906.01(i)
- correction of—1904.03(f); 1904.14; 1906.01(f)
- date of—1902.04
- dividing—1906.01(a)(iv)
- in general—1901
- invalidation—1904.07
- limitation in list of goods/services—1904.03(g); 1904.15;
1906.01(e)
- renewal of—1905
- restriction of holder's rights of disposal—1906.01(b)
- who can file—1902.01
- International trademark agreements—1001; App. B
- Internet domain names, marks comprising—1209.03(m); 1215-1215.10
- Internet, evidence from—710.01(b)
- Interruption of U.S. Postal Service—305.04
- Interview with applicant or attorney—709-709.06

J

- Joint applicants
 - application by—803.03(d)
 - signature by—611.06(a); 804.04
- Joint venture
 - name of—803.03(b)
 - setting forth names and citizenship of joint venturers—803.03(b)
 - signature by—611.06(c); 804.04
 - state or country of organization—803.03(b)
- Jurisdiction over application—1504-1504.05(a); 1904.02(l)
- Jurisdiction, request for—1504.04(a)
- Juristic person—803.01; 1203.03(a)

K

- Kits, identification and classification—1401.05(a); 1902.02(g)(iii)

L

- Lanham Act (*see* Trademark Act of 1946)
- Laudatory terms in marks—1209.03(k)
- Legal advice, Office cannot render—108.02; 1801; 1805
- Legal entity of applicant set forth in application—803.03; 803.03(i)
- Letter of inquiry with respect to suspended application—716.05
- Letter of protest in pending application (*see* Protest)
- Letterhead stationery as specimen of service mark use—1301.04(c)
- License agreements, recording of—503.02; 1906.01(h)
- Licensees, use of mark by—1201.03(f)
- Likelihood of confusion
 - actual confusion unnecessary—1207.01(d)(ii)
 - attack on registration improper in *ex parte* proceeding—1207.01(d)(iv)
 - between collective and other marks—1304.08(b)
 - classification not relevant—1207.01(d)(v)

collateral attack on registration improper in ex parte—1207.01(d)(iv)
 consent between parties—1207.01(d)(viii)
 design marks—1207.01(c)-1207.01(c)(iii)
 doubt resolved in registrant's favor—1207.01(d)(i)
 expansion of trade doctrine—1207.01(a)(v)
 family of marks—1207.01(d)(xi)
 famous mark—1207.01(d)(ix)
 foreign equivalents—1207.01(b)(vi)
 legal equivalents—1207.01(c)(i)
 meaning, similarity in—1207.01(b)(v)
 no per se rule—1207.01(a)(iv)
 parody marks—1207.01(b)(x)
 past decisions of examining attorneys—1207.01(d)(vi); 1216-1216.02
 related companies and—1201.07-1201.07(b)(iv)
 relatedness of goods and/or services—1207.01(a)-1207.01(a)(vi)
 reliance on identification of goods/services in application/registration—
 1207.01(a)(iii)
 sophisticated purchasers—1207.01(d)(vii)
 similarity of—1207.01(b)
 sound, similarity in—1207.01(b)(iv)
 similarity of marks—1207.01(b)-1207.01(b)(x)
 third-party registrations—1207.01(d)(iii)
 unity of control—1201.07-1201.07(b)(iv)
 transposition of terms—1207.01(b)(vii)
 weak marks—1207.01(b)(ix)
 Limited liability company—803.03(h)
 signature by—611.06(g); 804.04
 Limited liability corporation—803.03(h)
 Limited liability partnership—803.03(k)
 signature by—611.06(h)
 Lining statement—808.01(b)
 Living individual, name or likeness of (see Consent to register by living individual)
 Loss of papers—305.02(f); 305.03; 1711; 1712.01; 1712.02(a)

M

Madrid Protocol (See also Extension of protection of international registration to the
 United States; Registered extension of protection; §66(a) application)—
 Chapter 1900
 Mail sent to USPTO related to trademarks—302.03(a); 302.03(b)
 Maintenance of registration—1602-1602.04
 Manner of use of mark (see Method-of-use)
 Mark drawing code—807.18
 Material alteration—807.03(d); 807.14-807.14(f); 1604.13; 1609.02(a)
 Material difference between mark on drawing and mark in foreign registration—
 807.12(a)-807.12(a)(iii); 807.12(b); 1011.01
 Meaning of term in mark, inquiry as to—808.01(b); 814
 Membership mark (see Collective membership mark)
 Mere descriptiveness (see Descriptiveness, mere)
 Merger, documents of—503.02
 Method-of-use—905

Minor as applicant—803.01
“Misspelled” words—1209.03(j); 1213.08(c)
Mistake in applicant’s name, correction of—1201.02(c)
Mistake in registration, correction of—1609.10-1609.10(b)
Model, style or grade designation—1202.16
More than one mark—202.01; 807.01
Motion marks—807.11; 904.03(l)
Moving goods/services between companion applications—1402.08
Multiple-class application (see Combined application)
Multiple copies of papers should not be filed—302.02
Multiple drawings—202.01; 807.01
Multiple filing bases—806.02-806.02(f)
Musical performer/group names—1202.09; 1202.09(a); 1301.02(b)
“Mutilation” of mark—807.12(d)

N

NAFTA (see North American Free Trade Agreement)
Name of applicant, correcting mistake in—1201.02(c)
Names of artists and authors as marks—1202.09-1202.09(c); 1206.01; 1301.02(b)
Name, portrait or signature as mark—1206-1206.05 (see *a/so* Consent to register by living individual)
National Archives and Records Administration—503.08
National symbols—1203.03; 1203.03(b); 1203.03(c); 1203.03(d)
Names of characters, authors and artists as a mark—1202.09-1202.09(b); 1202.10-1202.10(a)
Negative identification language—1402.06(a)
New certificate of registration, issuance to assignee—502.03
Nice Agreement—1401.09-1401.11
Non-attorney may not represent parties in the USPTO—602.02; 608.01; 611.02; 707.01; 708.02; 712
Non-English wording in mark, translation of—809-809.02(a)
Non-Latin characters in mark, transliteration of—809-809.02(a)
Non-lawyers—602.02; 608.01; 611.05-611.05(c); 707.01; 708.02; 712.01
Non-profit corporation—803.03(c)
Non-receipt of examining attorney’s Office action—717.02
Non-registration—1205.02
Non-responsive communications—719
Nonuse of registered mark—1604.11
Non-visual marks—807.09
North American Free Trade Agreement (NAFTA)—1210; 1210.01(b); 1210.05(a); 1210.07(a); 1210.07(b); 1211; 1212; 1212.02(a)
Notes-to-the-File—709.04; 710.02
Notice of abandonment—718.04; 718.06
Notice of allowance—1106-1106.04
 action after issuance of (but before filing of Statement of use)—806.03(j)(ii); 1106.02; 1107
 cancellation of—1106.03
 correction of errors in—1106.04
 information printed on—1106.01
 issuance of—1106.01

- non-receipt of—1714.01(c)
- “Notice of incomplete trademark application”—204.01
- Notice of irregularity of international application for registration, issued by the International Bureau—1902.07-1902.07(f)
- Notice of publication—704.01
- Notice, registration (see Registration notice)
- Number of specimens—904.01

O

- Office action (see also Action by examining attorney; Response to Office action; Provisional refusal)
 - non-receipt of—717.02
 - preparation of—705-705.08
 - remailing of—717
 - response period—310; 711-711.02
 - returned as undeliverable—403; 717.01
 - supplemental Office action—711.02
- “Office Date” label—303.02(b)
- Office personnel
 - not to express opinion on validity of registered mark—1801
 - not to give legal advice—108.02
 - not to testify—1801.01
- Official Gazette (see also Trademark Official Gazette)—106; 1502; 1502.01
- Old drawing, use in new application—807.19
- “Olympic” and other designations protected under Amateur Sports Act of 1978—1205.01
- One mark, application limited to—202.01; 807.01
- Operating divisions—803.01
- Opinion, Office personnel not to express—1801
- Opposition—1503-1503.05
 - extension of time to file—1503.04
 - to §66(a) application—1503.05
- Order of examination—702.01
- Order of work—702-702.02
- Ordering abandoned application files—109.02
- Original document, filing of—302.01; 503.08
- Ornamentation—1202.03-1202.03(g)
- Other statutes protecting names, initials, marks, etc.—App. C
- Owner of mark is proper party to apply—803.01; 1201
- Ownership of application or registration—1201-1201.07
 - change of—501-501.06; 1201.02(e)
 - establishing—502-502.03
 - may be based on use by related companies—1201.01
 - recording change—503-503.05
 - §1 application—1201
 - §44 application—1201
 - §66(a) application—501.07; 502.02(b); 1201; 1904.06
- Ownership of mark (see also Assignment; Applicant; Related Company; Ownership of application or registration)
 - automatic updating—504-504.05

change in, after application filed—501-501.06; 1201.02(e)
inquiry by examining attorney with respect to—1201.04
refusal on basis of—1201.02(b)
related-company use—1201.03-1201.03(f)
request to update after recordation of assignment—501.01-501.02

P

Papers not returnable—404
Paralegal may not represent parties in the USPTO—602; 608.01;
611.02; 707.01; 708.02; 712
Paris Convention—1001; 1002.03; 1002.04; 1205.01; App. B
article 6^{ter}—1205.02
Partial abandonment—718.02(a); 1402.13; 1403.05; 1904.03(d)
Partial assignment—501.06; 1110.11; 1615
Partners, setting forth names and citizenship of—803.03(b)
in TEAS Plus application—819.01(c)
Partnership
address of—803.05
foreign—803.03(b); 803.04
name of—803.02; 803.03(b)
signature by—611.06(b); 804.04
state or country of organization—803.02(b); 803.04
Patent and Trademark Depository Libraries—112
Patents, design and utility—1202.02(a)(v)(A)
Payment refused or charged back by financial institution—405.06
Performing artist, name of, as mark—1202.09-1202.09(a)(iii); 1301.02(b)
Period for response
ending on Saturday, Sunday or federal holiday—308
how computed—310
may run from date of previous action—711.01
reset in examining attorney's letter correcting error—711.02
statutory—711
Person, defined—1203.03(a)
Petition decisions available to public—110
Petition from examining attorney's holding of abandonment—1713.01
Petition to cancel—1607
Petition to Director—1702; 1703; 1704; 1705-1705.09; 1706; 1707; 1708
after refusal of §8 affidavit—1604.18-1604.18(c);
after refusal of renewal—1606.14; 1606.14(c)
appeal to federal court from—1705.09
delegation of—1709
diligence—1705.05
evidence and proof of facts—1705.03
fee for—1705.02
petitionable matter—1704
procedure for—1705-1705.09
request for reconsideration of denial of—1705.08
signature of—1705.07
standard of review on—1706
standing—1705.01

- stay of deadlines pending disposition of—1705.06
- supervisory authority—1707
- timeliness—1705.04
- to amend basis after publication—806.03(j)-806.03(j)(ii)
- to make special (see Petition to make “special”)
- to reinstate abandoned applications—1712.01
- to reinstate cancelled registrations—1712.02(a)
- to reverse examining attorney’s holding of abandonment—1713.01
- to review denial of certification of international application—1902.03(a)
- to review denial of filing date—1711
- to revive (see Petition to revive)
- to waive rules—1708
- types of—1703
- Petition to make “special”—702.02; 1710-1710.03
 - basis for granting or denying—1710.01
 - fee for—1710
 - handling of—1710.02
 - must state facts justifying special action—1710.01
 - submission of—1710.03
- Petition to revive abandoned application (see *a/so* Unintentional delay)
 - basis for granting—1714.01(e)
 - diligence with respect to—1705.05; 1714.01(d)
 - fee for—1714-1714.01(b)
 - request for reconsideration of denial of—1714.01(g)
 - requirements for filing—1714.01-1714.01(e)
 - time for filing of—1714.01(d)
 - unintentional delay, statement of—1714.01(e)
 - unintentional delay, where unintentional delay applies—1714.01(f)-1714.01(f)(ii)
- Petitionable subject matter—1704
- “Phantom” elements in marks—807.01; 1214-1214.04
- Photocopies of documents, when acceptable for filing—302.01
- Piecemeal action to be avoided—704.01
- Portrait as mark— (see Consent to register by living individual)
- Postal Service, U.S., interruptions of—305.04
- Postcard, acknowledgment—303.02(c)-303.02(c)(i)
- Power of attorney—602.01-605.04
 - associate—605.03
 - change of—604.03; 609.02(b)
 - filed after registration—605.04; 1612
 - relating to multiple application or registration—605.02
 - revocation—606
- Practice before Office in trademark matters—602-602.03(e); 611.02
- Predecessor in title, first use of mark by—903.05
- Preparation of case for publication or registration—817
- President of United States, deceased—1206.02; 1206.03
- Press, inquiries from members of—1804
- Press releases—904.04(b); 904.04(c); 1301.04
- Previous examining attorney, examination approach of—713.01
- Principal Register
 - advantages of registration on—801.02(a)
 - applications for registration on—801.02(a)

- registration on Supplemental Register may not be amended to—1609.09
- Printing of information in *Official Gazette* and on registration certificate—817
- Prior registrations of applicant
 - effect of—1216-1216.02
 - identification of, in application—812
 - “incontestability”—1216.02
 - not controlling—1216.01
 - printing of claim of—812; 817
 - proving ownership of—812.01
 - refusal under §2(d) with respect to—812.01
- Prior trademark acts (see *also* Act of 1881; Act of 1905; Act of 1920)
 - registrations issued under—1601.04; 1601.05; 1602.02; 1602.03
 - repeal of—1601.02
 - reregistration of marks registered under—1601.03
- Priority action
 - combined with examiner’s amendment—708.05
 - defined—708.01
 - discussion of—708.01; 708.03
 - elements of—708.01
 - form of—708.03
 - must include evidence—708.01; 708.03; 708.04
 - paralegal cannot authorize—608.01; 708.02
 - when appropriate—708.02
- Priority, constructive use—201.02
- Priority for publication or issue based on effective filing date—201.01; 1003.05
- Priority claim in application for international registration—1902.05
- Priority claim in request for protection of international registration to the United States—206.02; 1904.01(e)
- Priority claim in §44(d) application
 - abandonment of foreign application—1003.08
 - amendment of basis, priority filing date retained—806.03(h); 806.04(b)
 - assignment of §44(d) application—1006
 - basis for registration required—1003.03
 - bona fide intention to use mark in commerce must be asserted—806.01(c); 806.02(e); 1003; 1008
 - combined with other bases—806.02(f); 1003.04(b)
 - country of origin—1002.04
 - dividing—1110.10
 - drawing—1011-1011.04
 - effect of failing to file within six months—1003.02
 - effective filing date of—206.02; 1003.05
 - eligible applicants—1002.02
 - establishing entitlement under a treaty—1002.02; 1002.03; 1002.04
 - “first-filed” requirement—1003.01
 - identification of goods/services—1012; 1402.01(b)
 - in multiple-basis applications—1003.04(b)
 - inquiry as to status of foreign application—1003.04(c)
 - may also rely on §44(e)—1003.06
 - more than one foreign application—1003.07
 - must be filed within six months of foreign filing—806.03(b); 1003.02
 - not limited to country of origin—1002.04

- one mark per application—1011.02
- ownership of foreign application—1005
- priority for publication of—201.01; 1003.05
- requirements for §44(d) filing basis—806.01(c)
- retention of priority filing date without perfect §44(e) basis—806.04(b); 806.03(h)
- requirements for receiving a filing date—202
- suspension pending receipt of foreign registration—716.02(b); 1003.04(a)
- U.S. applicant—1002.05
- use not required—1009
- valid basis for registration in United States required—1003.03
- Process, system or method—1301.02(e)
- Protest, Letter of—1715-1715.06
 - adversarial arguments inappropriate—1715.01
 - appropriate subjects to be raised—1715.01-1715.01(b)
 - approval of application for publication after grant of—1715.02(b)
 - does not extend opposition period—1715.03(b)
 - filed after publication—1715.03
 - filed after registration—1715.03(b)
 - filed before publication—1715.02(a)-1715.02(b)
 - petition to review denial of—1715.06
 - recourse after denial of—1715.06
 - requests for copies of—1715.05
 - timely filing of—1715.03(b)
- Provisional refusal of 66(a) applications—1904.02(h); 1904.03
- PTDL (see Patent and Trademark Depository Libraries)
- Public Records Division of USPTO—(See Document Services Branch)
- Publication for opposition
 - amendments after—806.03(j)-806.03(j)(ii); 1505-1505.02(g)
 - notice of—704.01
 - of mark in intent-to-use application—1105
- Publications, marks for
 - columns or sections, marks that identify—1202.07-1202.07(b)
 - identification of goods—1402.03(e)
 - specimens for—904.02(c)(iii)
- Punctuation,
 - addition or deletion of—807.14(c)
 - agreement of drawing and specimen—807.12(a)(i)-807.12(a)(iii); 807.14(c)

R

- Reasonable time between signing and filing papers—804.03; 1104.09(b); 1108.02(b); 1109.11(c)
- Receipt date placed on all correspondence filed—303.02(b)
- Receipt, filing—401.01
- Receipt, of documents by Office—303-303.02(c)
- Receipt, postcard—303.02(c)
- Reciprocal registration rights—1002.03; App. B
- Recognition as a Representative—604-604.02
- Reconsideration after final action—715.03-715.04(b); 1501.02(a)

Reconsideration of Board decision, request for, by examining attorney—1501.07
 Reconsideration of holding of abandonment—718.03(c)
 Reconsideration of decision on petition—1705.08
 Recordation date—503.05
 Recorded documents (see also Assignment, recordation of)
 automatic update of trademark database after recordation—504-504.05
 correction of errors in—503.06-503.06(f)
 effect of—503.01-503.01(e)
 indexing against, not permitted—503.07
 notifying Trademark Operation of—505
 original documents should not be submitted for recording—503.03(b)
 request to update ownership after recordation—505.01; 505.02
 requests for copies of—503.08
 requirements—503.03-503.04
 searching—104; 503.08
 Red Crescent—1205.01(a)-1205.01(a)(vi)
 Red Cross—1205.01
 Red Crystal—1205.01(a)-1205.01(a)(vi)
 Refund of fees—405.04; 810.02
 for informal application—204.01
 Refusal, final (see Final refusal)
 Refusal of registration
 amendment to Supplemental Register after—714.05(a)(i); 815.04; 816.04;
 1209.02(a)(i)
 as to fewer than all classes in combined application—1403.05
 based on inadequate response to request for additional information—814
 deceptive matter—1203.02-1203.02(g); 1210.05(a)-1210.05(c)(ii); 1210.06(b)
 descriptiveness—1209.02-1209.02(b)
 disparagement—1203.03(c); 1203.03(d)
 duplicate registrations—703
 false suggestion of a connection—1203.03(e); 1203.03(f)
 flag, coat of arms or insignia—1204
 functionality—1202.02(a)-1202.02(a)(v)(D)
 generic names—1209.01(c)-1209.01(c)(iii)
 geographic descriptiveness—1210-1210.09
 geographic misdescriptiveness—1210.01(b); 1210.05(a)-1210.05(c)(ii);
 1210.06(b)
 immoral or scandalous matter—1203.01
 incapable matter—805.04
 in §66(a) application—711.02; 714.05(b); 1904.03; 1904.03(e); 1904.04
 likelihood of confusion (see also Likelihood of confusion)—1207-1207.03
 matter protected by statute—1205-1205.02
 name, portrait or signature of living individual or deceased United States
 president without consent—1206-1206.03 (see also Consent to register by
 living individual)
 nondistinctive configuration—1202.02(b)-1202.02(b)(ii)
 of request for extension of protection of international registration—711.02;
 714.05(b); 1904.03; 1904.03(e); 1904.04
 of matter protected by statute or convention—1205
 ornamentation—1202.03-1202.03(g)
 ownership (see Ownership of mark)

res judicata—1217
 surname, primarily merely a—1211-1211.02(b)(vii)
 trade name—1202.01
 use as a service mark—1301.02-1301.02(e)
 use as a trademark—1202-1202.15
 use in commerce—901.04
 when specimen unacceptable—904.07-904.07(b)
 Register (see Principal Register; Supplemental Register)
 Register indicated on certificate of registration—1601.07
 Registered extension of protection of international registration to the United States
 (See *also* Extension of protection of international registration to the United
 States; Section 66(a))
 affidavit of continued use or excusable nonuse—1613; 1904.11
 affidavit of incontestability—1605.01; 1904.11
 amendment of identification of goods/services—1402.01(c);
 1609.01; 1904.02(c)-(c)(v); 1906.01(i)
 amendment of mark not permitted—807.13(b); 1609.02;
 1904.02(j); 1906.01(i)
 assignability of—501.01(b)
 assignee, issuance of registration certificate in name of—503
 assignment of—501.01(b); 501.07; 502.01; 502.03; 503.05;
 503.03(a); 1904.06; 1906.01(a)-1906.01(a)(iii)
 cancellation of, by IB—1904.08
 can be amended under §7 only in limited circumstances—
 1609.01(a)
 certificate of registration—1904.05
 certificate of registration, issuance in name of new owner—
 502.02(b); 502.03
 claim of acquired distinctiveness—1212.08
 classification of goods and services—1401.03(b); 1403.02;
 1403.02(b); 1403.02(c); 1505.02(b); 1609.04; 1904.02(b);
 1904.02(c)-(c)(v)
 concurrent use registration not permitted—1207.04(b)
 constructive use—1904.01(g)
 correction of errors—1904.03(f); 1904.13; 1904.13(b); 1904.14;
 1906.01(f)
 dividing—1110.11; 1615.02
 establishing right to take action—502.01
 filing receipt—401.01
 identification of goods and services—1402.01(c); 1609.01;
 1904.02(c)-(c)(v); 1906.01(i)
 incontestability, affidavit of—1605.01; 1904.11
 invalidation of—1904.07
 issuance of registration certificate in name of new owner—
 502.02(b); 502.03
 jurisdiction—1504.04; 1904.02(l)
 limitation in list of goods/services—1904.02(e)(ii); 1904.03(a);
 1904.15; 1906.01(e)
 mark cannot be amended—807.13(b); 1609.02; 1904.02(j);
 1906.01(i)
 ownership, in general—501; 502; 1201; 1904.06

ownership, change of—501.01(b); 501.07; 502.01; 502.02(b);
 502.03; 503.05; 503.03(a); 1904.06; 1906.01(a)-1906.01(a)(iii)
 priority claim—206.02; 1904.01(e);
 recording change of ownership—503.05; 1904.06; 1906.01(a)-
 1906.01(a)(iii)
 refusal of—711.02; 714.05; 1904.03-1904.03(g); 1904.04
 remains part of international registration—1904.05
 remand—1504.02; 1504.04; 1504.05(a)
 renewal of—1602.01; 1606.01(b); 1614; 1905
 replacement—1616; 1904.12
 signature—804.04; 804.05; 1904.01(c)
 Supplemental Register, not registrable on—801.02(b); 815;
 806.01; 816.04; 1904.02(f)
 transformation of—1904.09-1904.09(b)
 use not required—901; 1904.01(d)
 verification—804.04; 804.05; 1904.01(c)
 Registration, amendment of mark in—1609.02-1609.02(c)
 Registration as correct type of mark—1307
 Registration certificate (see Certificate of registration)
 Registration, division of—1615-1615.02
 Registration notice
 improper use of—906.02
 provided for in 15 U.S.C. §1111—906
 symbolizing foreign registration—906.01
 Registration, reinstatement of registration cancelled or expired due to Office error—
 1712.02(a)
 Reinstatement of inadvertently abandoned application—1712.01
 Reinstatement of registrations cancelled or expired due to Office error—1712.02(a)
 Related applications (see also Companion applications; Conflicting applications)—
 702.03-702.03(b)
 Related company
 common stockholders, directors, officers—1201.03(d)
 control with respect to use by—1201.03
 definition of—1201.03
 distributor—1201.06(a)
 first use of mark by—903.05
 importer—1201.06(a)
 licensees and franchisees—1201.03(f)
 reference to, in §1(b) applicant—1201.03(a)
 sister corporations—1201.03(e)
 use by—903.05; 1201.03-1201.03(f)
 use solely by—1201.03(a)
 wholly owned—1201.03(c)
 with respect to likelihood of confusion—1201.07-1201.07(b)(iv)
 Remailing of Office action—717-717.02
 Remand to examining attorney from Trademark Trial and Appeal Board—1501;
 1504.05; 1504.05(a)
 Renewal (see also Renewal, application for)
 of international registrations—1602.01; 1606.01(b); 1614; 1905
 of registered extension of protection—1602.01; 1606.01(b); 1614; 1905
 of registrations issued under Act of 1920—1602.03; 1606-1606.15

- of registrations issued under Act of 1946—1606-1606.15
- of registrations issued under Acts of 1881 and 1905—1602.02; 1606-1606.15
- Renewal, application for—1606-1606.15
 - appeal to federal court—1606.15
 - by owner of international registration—1602.01; 1606.01(b); 1614; 1905
 - by registrant not domiciled in United States—1606.10
 - combined with §8 affidavit—1606.16
 - deficiencies, correction of—1606.05(b); 1606.13-1606.13(c)
 - domestic representative, designation of—1606.10
 - execution of—1606.07
 - fee for filing—1606.05-1606.05(c)
 - form for filing—1606.04
 - goods and services set forth in—1606.08-1606.08(d)
 - grace period—1606.05(b); 1606.03
 - Office action upon examination of—1606.11
 - ownership and who may file—1606.06
 - petition to Director—1606.14-1606.14(c)
 - premature filing of—1606.03(a)
 - recourse after refusal—1606.14-1606.14(c)
 - requirements for—1606.04
 - response to Office action—1606.12
 - signature of—1606.07
 - specimen not required—1606.09
 - term of—1602-1602.03
 - time for filing—1606.03; 1606.03(a)
 - untimely filing cannot be cured—1712.02(a); 1606.13(c)
 - use, declaration of use not required—1606.09
 - who may file—1606.06
- Repeal of prior trademark acts—1601.02
- Replacement—1616; 1904.12
- Reply brief in ex parte appeal—1501.02(c)
- Representation by attorney or other authorized individual—602-602.03(e)
- Reproduction of mark (See Drawing)
- Republication—1505.03-1505.03(b)
- Request for extension of protection of international registration to the United States
 - (See Extension of protection of international registration to the United States;
 - Registered extension of protection of international registration to the United States; Section 66(a))
- Request for extension of time to file statement of use—1108-1108.05
 - bona fide intention to use the mark in commerce—1101; 1108.02(e)
 - fee for filing—1108.02(c)
 - filing in conjunction with or after statement of use—1108.03-1108.03(a)
 - form for filing—1108
 - good cause for—1108.02(f)
 - hand delivery of—1108
 - identifying goods/services in—1108.02(d)
 - “insurance” request—1108.03;-1108.03(a)
 - minimum filing requirements—1108.04
 - ownership—1108.02(a)
 - recourse after denial—1108.04; 1108.05
 - requirements (of 37 C.F.R. §2.89) for—1108.02-1108.02(f)

- time for filing—1108.01
- verification—1108.02(b)
- Request for extension of time to oppose—1503.04
- Request for information from applicant—814
- Request to divide—1110-1110.12
 - division of application—1110-1110.11(a)
 - division of application subject to Board proceeding—1110.09
 - division of application under §44—1110.10
 - division of application under §66(a)—1110.11; 1110.11(a)
 - division of multiple class application—1110.08; 1403.03
 - division of registration—1615
 - fee for—1110.02
 - form and processing of—1110.04
 - outstanding deadlines apply to applications created by—1110.05
 - signature of—1110.06
 - time for filing—1110.03
 - when statement of use is due—1110.07
 - when response to Office action is due—1110.05; 1110.08
- Requirements for receiving filing date for application—202-202.03
- Reregistration of marks registered under prior acts—1601.03
- Res judicata—1217
- Resignation of examining attorney—702.01
- Response to IB notice of irregularity of international application for registration—1902.07; 1902.07(f)
- Response to Office action
 - deadline for—310; 711-711.02
 - incomplete—611.05(a)-(c); 718.03-718.03(c); 1713.01-1713.02; 1714.01(f)(ii)
 - must be signed—712
 - persons who can sign—611.03(b); 611.06-611.06(h); 712.01
 - signed by unauthorized party—608.01; 611.05-611.05(c); 712.03
 - unsigned—712.02
- Restoration of filing date—1711
- Restriction of holder's right to dispose of international registration—1906.01(b)
- Return of application materials not entitled to a filing date—204.01
- Returned correspondence—403; 717.01
- Revival (see Petition to revive abandoned application)
- Revived or reinstated application, examination of—718.07; 1208.01(d)
- Revocation of power of attorney—606

S

- Scandalous matter (see Immoral or scandalous matter)
- Scent as mark—807.09; 904.03(m); 1202.13
- Scope of identification of goods/services for purposes of amendment—1402.07-1402.07(e)
- Search, by examining attorney—206.03; 206.04; 704.02; 718.07
- “Search clause”—704.02
- Search library, trademark—104
- Search of trademark applications and registrations—104
- Search room, trademark assignment—104; 503.08
- Secondary meaning (see Acquired distinctiveness (§2(f) claim))

Secondary source—1202.03(c)
 Section 2(f) claim (see Acquired distinctiveness (§2(f) claim)
 Section 8 affidavit (see Affidavit of continued use or excusable nonuse (§8))
 Section 15 affidavit (see Affidavit of incontestability)
 Section 44 (see *also* Foreign registration, application based on ownership of; Priority under §44(d), application claiming)
 applications for Supplemental Register under—1014
 assignment of applications under—1006
 claim of acquired distinctiveness in application under—1010
 eligible applicants, under—1002-1002.05
 international trademark agreements implemented by—1001
 sections of publications, marks that identify—1202.07-1202.07(b)
 Section 66(a) application (see *also* Extension of protection of international registration to the United States; Registered extension of protection of international registration to the United States)
 amendment to Supplemental Register not permitted—801.02(b); 815; 816.01; 816.04; 1904.02(f)
 amendment of identification of goods/services—1402.01(c); 1609.01; 1904.02(c)-(c)(v); 1906.01(i)
 amendment of mark not permitted—807.13(b); 1609.02; 1904.02(j); 1906.01(i)
 assignability of—501.01(b)
 assignee, issuance of registration certificate in name of—502.02(b)
 assignment of—501.01(b); 501.07; 502.01; 502.02(b); 503.05; 503.03(a); 1904.06; 1906.01(a)-1906.01(a)(iii)
 basis—806.01(e); 806.03(k); 1505.02(f); 1904.01(a)
 cancellation of, by IB—1904.08
 cannot be based on USPTO application—1904.01(h)
 certificate of registration—1904.05
 certificate of registration, issuance in name of new owner—502.02(b); 502.03
 certification and collective marks—1904.02(d)
 claim of acquired distinctiveness—1212.08
 classification of goods and services—1401.03(d); 1403.02; 1403.02(b); 1403.02(c); 1505.02(b); 1609.04; 1904.02(b)
 concurrent use registration not permitted—1207.04(b)
 constructive use—1904.01(g)
 correction of errors—1904.03(f); 1904.13; 1904.13(b); 1904.14; 1906.01(f)
 correspondence address—609.01(a); 1904.02(i)
 declaration of intent to use required—804.05; 1904.01(c)
 dividing—1110.11; 1615.02
 drawing—807.03(b); 807.03(g); 807.03(h); 807.07(b); 807.07(d)(iii); 807.07(f)(i); 807.12(c); 807.13(b); 1904.02(k)
 description of mark—1904.02(k)
 establishing right to take action—502.01
 examination of—1904.02-1904.09
 express abandonment of—718.01
 filing basis—806.01(e); 806.03(k); 1505.02(f); 1904.01(a)
 filing date—201; 202; 203; 1904.01(b)

filing fee—202.03; 810; 810.01; 1401.05(b); 1904.01(f)
 filing receipt—401.01
 identification of goods and services—1402.01(c); 1609.03;
 1904.02(c)-(c)(v); 1906.01(i)
 invalidation of—1904.07
 issuance of registration certificate in name of new owner—
 502.02(b); 502.03
 jurisdiction—1504.04; 1904.02(l)
 limitation in list of goods/services—1904.02(e)(ii); 1904.03(g);
 1904.15; 1906.01(e)
 mark cannot be amended—807.13(b); 1904.02(j); 1906.01(i)
 must be filed by holder of international registration—1201
 notice of refusal—1904.03-1904.03(g); 1904.04
 Office actions, issuing—1904.02(h)
 opposition—1503.01; 1503.05; 1504.02; 1504.05(a); 1904.04
 ownership, in general—501; 502; 1201; 1904.06
 ownership, change of—501.01(b); 501.07; 502.01; 502.02(b);
 503.05; 503.03(a); 1904.06; 1906.01(a)-1906.01(a)(iii)
 priority claim—206.02; 1904.01(e)
 recording change of ownership—503.05; 1904.06; 1906.01(a)-
 1906.01(a)(iii)
 remains part of international registration—1904.05
 remand—1504.02; 1504.04; 1504.05(a)
 refusal of—711.02; 714.05(b); 1904.03; 1904.03(e); 1904.04
 renewal of—1602.01; 1606.01(b); 1614; 1905
 replacement—1616; 1904.12
 signature—804.04; 804.05; 1904.01(c)
 Supplemental Register, amendment to not a new issue—
 714.05(a)(i)
 Supplemental Register, not registrable on—801.02(b); 815;
 816.01; 816.04; 1904.02(f)
 transformation of—1904.09-1904.09(b)
 use not required—901; 1904.01(d)
 verification—804; 804.04; 804.05; 1904.01(c)
 Section 71 affidavit—1613; 1904.11
 Security interest agreements, recording of—503.02
 Serial number—401.02
 Series code—401.02
 Service mark
 character or personal name—1301.02(b)
 computer software—1301.02(f)
 definition of—1301, 1301.02
 identification and classification of services—1301.05
 informational matter—1301.02(a)
 matter that does not function as—1301.02(a)
 menu item for restaurant—1301.02(a)
 ornamental matter—1301.02(a)
 process, system or method—1301.02(e)
 product used in performing service—1301.02(a)
 radio and television program, title of—1301.02(d)
 specimens of use—1301.04-1301.04(d)

- system, process or method—1301.02(e)
- three-dimensional—1301.02(c)
- use in commerce—1301.03
- what is a—1301.02-1301.02(e)
- Service, what is a—1301.01-1301.01(b)(v)
 - contests and promotional activities—1301.01(b)(i)
 - criteria for determining what constitutes—1301.01(a)-1301.01(a)(iii)
 - informational services ancillary to sale of goods—1301.01(b)(v)
 - investment, soliciting—1301.01(b)(iv)
 - promotional services—1215.02(b); 1301.01(b)(i)
 - publishing—1301.01(b)(iii)
 - warranty or guarantee of repair—1301.01(b)(ii)
- Services
 - identification of—1402.11-1402.11(e)
 - classification of—1401.02(a)
- Shape as mark
 - background designs and shapes as mark—1202.11
 - product and container configurations—1202.02(a)-1202.02(b)(ii)
- Signature (see also Verification)
 - as certification—611.01(a)
 - date of—804.03; 1104.09(b); 1108.02(b); 1109.11(c)
 - of applicant (see also Verification of application)
 - by association—611.06(f); 804.04
 - by corporation—611.06(d); 804.04
 - by foreign corporate-type entity—611.06(e); 804.04
 - by joint applicants—611.02, 611.06(a); 804.04
 - by joint venture—611.06(c); 804.04
 - by limited liability company—611.06(g); 804.04
 - by partnership—611.06(b); 804.04
 - by someone other than applicant or applicant's attorney—611.05-611.05(c)
 - electronic—611.01(c)
 - examples of authorized and unauthorized signatories—611.04
 - must be personally signed—611.02; 611.03; 712; 804.01(b); 804.04; 611.01(b); 611.01(c)
 - persons who can sign verification on behalf of applicant—804.04
 - persons who may sign response – 712.01
 - of amendments and responses—611.02, 611.03(b), 611.05-611.05(c); 712.01
 - of application for registration, persons who can sign—804.04
 - of correction, amendment, or surrender of registration—611.03(f)
 - of correspondence address change request in an application or registration—611.02; 611.03(i)
 - of documents filed in USPTO—611-611.05(c)
 - of domestic representative designation and representation—611.03(h)
 - of electronically filed documents—611.01(c)
 - of letter of express abandonment—608.01, 611.02; 611.05; 718.01
 - of petition to Director—611.02; 611.03(e); 1705.07
 - of petition to revive—611.03(d); 1705.07; 1714.01(e)
 - of powers of attorney and revocations of powers of attorney—611.03(c)
 - of renewal applications—611.03(g)
 - of request to divide—611.02; 611.03(b)

- of response to Office action—608.01; 611.02, 611.03(b); 611.03(b); 611.06(a)-611.06(h); 712
- of TEAS document—611.01(c); 611.02(a)
- original—302.01
- requirements for—611.01(b)
- “Single source” (related companies)—1201.07-1201.07(b)(iv)
- Single creative work, title of—1202.08; 1301.02(d)
- Size of mark on drawing—807.06(a)
- Slogans
 - disclaimers with respect to—1213.05(b)
 - registrability of—1202.03(f)(i); 1202.04
- Sole proprietorship as applicant—803.03(a)
- Sound marks—807.09; 904.03(f); 1202.15
- “Special” applications (see *a/so* Petition to make “special”)—702.02
- Special form drawing—807.04-807.04(b)
 - also referred to as “ink drawing”—807.04-807.04(b)
 - characteristics of—807.04(a)
 - correction of—807.15; 807.16
 - size limitations for—807.06(a)
 - when required—807.04(b)
- Specimens
 - affidavit of use of—904.05
 - agreement with mark on drawing—807.12(a)-807.12(a)(iii); 1215.02(c)
 - bulky—904.02(b)
 - catalogs as—904.03(h)
 - certification mark—1306.06(b)
 - collective membership mark—1304.08(e)
 - collective trademark and collective service mark—1303.02(b)
 - commercial packaging—904.03(c)
 - deferral of examination in multiple-basis application—806.02(c)
 - displays—904.03(g)
 - electronic and digital media attachments—904.03(d)
 - electronic displays—904.03(i)
 - electronically transmitted—904.02(a); 1104.09(e); 1109.09(b); 1604.12(b)
 - facsimiles—904.02(b)
 - for amendment to allege use—1104.09(e)
 - for color marks—904.02(c)(ii); 1202.05(f)
 - for combined application—(See Specimen, multiple-class application)
 - for computer programs, movies or videotapes—904.03(e)
 - for downloadable software—904.03(e)
 - for flavor mark—904.03(m)
 - for motion marks—904.03(l)
 - for publications—904.02(c)(iii)
 - for scent mark—904.03(m)
 - for statement of use—1109.09(b)
 - impracticable to place mark on goods, packaging or displays—904.03(k)
 - labels—904.03(a)
 - manuals—904.03(j)
 - multiple-class application—904.01; 904.01(b); 1403.01; 1403.02(b); 1402.03(c)
 - musical score—904.03(f); 1202.15
 - number of—904.01

- package inserts—904.04(c)
- paper—904.02(b)
- parties named on-Office no longer inquires as to relationship with applicant—
1201.04
- physical form of—904.02
- service mark—1301.04-1301.04(d)
- sound mark—904.03(f)
- stampings—904.03(b)
- statutory refusals—904.07-904.07(b)
- substitute (see Substitute specimens)
- trademark—904.03-904.04(c)
- translation of matter on—904.06
- use, affidavit of—904.05
- Standard character drawings—807.03-807.03(i)
 - and foreign registration—807.03(f), Appendix E
 - and specimen of use—807.03(e)
 - changing to or from special form may be material alteration—
807.03(d)
 - combined with other elements—807.03(c)
 - format unclear—807.03(h)
 - in §44 application—807.03(f)
 - in §66(a) application—807.03(b); 807.03(g); 807.03(h)
 - list of—807.03(b)
 - requirements for—807.03(a)
- Standard character list—807.03(b)
- Stare decisis—1217
- Statement of use
 - approval of—1109.18
 - black-out period—1103
 - classification, amendment of—1109.14
 - clear-error standard in examining—706.01; 1109.08
 - correcting defects—1109.16-1109.16(d)
 - date of execution—1109.11(c)
 - dates of use—1109.09(a)
 - drawing—1109.12
 - examination of—1109.07; 1109.08; 1109.16(b)
 - execution of—1109.11-1109.11(d)
 - extension of time to file (see Request for extension of time to file statement of
use)
 - failure to file within time permitted—718.04; 1109.04
 - fee for filing—1109.15; 1109.15(a)
 - form of—1109.05
 - hand delivery of—1109.05
 - identification of goods/services in—1109.13
 - may not be withdrawn—806.03(e); 1109.17
 - minimum requirements for—1109.01
 - minimum requirements, review for compliance with—1109.02
 - necessary elements of—1109.06
 - ownership—1109.10
 - recourse after refusal—1109.16(e)
 - response to Office action—1109.16(d)

- requirements for—1109.06
- signature of—804.04; 1109.11-1109.11(d)
- specimens for—1109.09(b)
- time for filing—1109.04
- use on all goods/services required before filing—1109.03
- use in commerce—1109.09
- verification of—804.04; 1109.11-1109.11(c)
- withdrawal prohibited—1109.17
- Status inquiries—108; 1705.05
 - Internet information—108.01
 - Personal telephone assistance—108.02
- Statutory authority for examination—701
- Statutory averments in application for registration—804.02
- Stippling on drawing—808.01(b)
- Subpoena received by Office employee—1801.01
- Subsequent designations—1902.08-1902.08(e)
- Substitute drawing—807.15
- Substitute specimens
 - affidavit supporting—904.05
 - in response to refusal for failure to show use of the mark in commerce—714.05(e)
 - in response to refusal for failure to show the applied-for mark functioning as a mark—714.05(f)
 - for amendment to allege use—1104.09(e)
 - for statement of use—1109.09(b)
 - may require amendment of dates of use—904.05
 - requirements for substitute specimens and statutory refusals—904.07-904.07(b)
- Supervisory authority of Director—1707
- Supplemental Register
 - allegation of use examined before action taken on amendment to—1102.03
 - amendment of application to—816-816.05
 - applications for—815-815.04
 - intent-to-use applications—206.01; 1102.03
 - marks eligible for Principal Register not registrable on—815.01
 - not an admission that mark has not acquired distinctiveness—815.03
 - refusal of incapable matter—815.04
 - request for extension of protection of international registration, not eligible—801.02(b); 815; 816.01; 816.04; 1904.02(f)
 - required elements—815.02
- Surname, primarily merely a—1211-1211.02(b)(viii)
 - combined with additional matter—1211.01(b)-1211.01(b)(vii)
 - combined with domain name—1211.01(b)(vii); 1215.03
 - combined with initials—1211.01(b)(iii)
 - combined with legal or familial entity designation—1211.01(b)(viii)
 - combined with title—1211.01(b)(iv)
 - doctrine of foreign equivalents—1211.01(a)(vii)
 - double surname—1211.01(b)(i)
 - evidence supporting refusal—1211.02-1211.02(b)(vii)
 - geographical significance—1211.01(a)(iii)
 - historical place or person—1211.01(a)(iv)
 - “look and feel” of a surname—1211.01(a)(vi)

- negative dictionary evidence—1211.01(a)(vii); 1211.02(b)(vi)
- non-surname significance—1211.01(a)-1211.01(a)(vi)
- ordinary language meaning—1211.01(a)(i)
- phonetic equivalent—1211.01(a)(ii)
- plural—1211.01(b)(v)
- possessive—1211.01(b)(v)
- rare—1211.01(a)(v)
- stylization—1211.01(b)(ii)
- telephone directory listings
- Surrender of registration for cancellation—1608
- Suspended cases checked by examining attorney—716.04
- Suspension of action by Office
 - after final action—716.06
 - circumstances where appropriate—716.02-716.02(e)
 - letter of inquiry regarding—716.05
 - notice of—716.01
 - pending cancellation or expiration of cited registration—716.02(e)
 - pending decision on petition not permitted—716.02; 1705.06
 - pending disposition of earlier-filed application—716.02(c)
 - pending inter partes or court proceeding—716.02(d)
 - pending receipt of foreign registration—716.02(b); 1003.04(a)
 - pending receipt of proof of renewal of foreign registration—716.02(b); 1004.01(a)
 - request to remove—716.03
- Suspension of practitioner from practice before USPTO—608.02
- Suspension, removal of application from—716.03; 716.06

T

- Taiwan, understanding with the United States—App. B
- TAC (Trademark Assistance Center)—108.02
- TARR database—108.01
- Tax-exempt corporation—803.03(c)
- TDR (Trademark Document Retrieval)—109.01; 402
- TEAS (see Electronic filing)
- TEAS Plus—819-819.04
 - Adding a class during examination—819.03
 - Application requirements—819.01-819.01(q)
 - Documents that must be filed through TEAS—819.02(b)
 - Identification of goods and services—1402.01(d); 1402.02
 - Payment of TEAS Plus processing fee—819.04
 - Receipt of communications by e-mail—819.02(a)
 - TEAS success page—303.02(a)
- Telephone numbers—1209.03(l)
- Telephone communications—709.04
- Telescoped words—1213.05(a)(i)
- “Ten-year proviso” of §5 of Act of 1905—1601.04
- Territorial commerce—901.03
- TESS (Trademark Electronic Search System)—104
- Testimony, requests for, referred to Office of General Counsel—1801.01
- Third parties, inquires from regarding ex parte matters—1806
- Third party registrations

- evidence of—710.03
- relevance in determining descriptiveness—1209.03(a)
- relevance in determining likelihood of confusion—1207.01(d)(iii)
- Third Protocol—1205.01(a)-1205.01(a)(vi)
- Three-dimensional marks—807.10; 1202.02-1202.02(d); 1301.02(c)
- TICRS—109.02; 402
- Time between execution and filing—804.03; 1104.09(b); 1108.02(b); 1109.11(c)
- Title of a single creative work—1202.08-1202.08(f); 1301.02(d)
- Title or degree—1304.08(a)(i); 1306.03; 1306.06
- Trade dress—1202.02; 1202.02(b)-1202.02(e); 1202.03; 1202.03(f)(iii)
- Trade name—1202.01
- Trademark Act of 1946
 - claiming benefits of, under §12(c)—1603-1603.03
 - duration of registrations under—1602.01
 - effective date of—1601.02
- Trademark assignment, searching—503.08
- Trademark Assistance Center—108.02
- Trademark, definition of—1202
- Trademark Document Retrieval (TDR)—109.01; 402
- Trademark forms—103
- Trademark Image Capture and Retrieval System (TICRS)—109.02; 402
- Trademark Law Revision Act of 1988—816.02; 901.02; 1303.01; 1602.01
- Trademark Law Treaty Implementation Act, changes to requirements for maintaining a registration—1602.04
- Trademark manuals—107
- Trademark Official Gazette
 - contents of—106
 - notification of clerical errors in—1502.01
 - publication in—1502
- Trademark searching—104
- Trademark Trial and Appeal Board
 - appeal to—1501-1501.04
 - concurrent proceedings—1506
 - interference—1507
 - jurisdiction of—1504.02
 - opposition proceedings before—1503-1503.05
- Trademark website—102
- Transformation—1902.10; 1904.09-1904.09(b)
- Translation
 - approval of—809.02(a)
 - entry of—809.01(a)
 - equivalency in—809.02
 - exceptions to rules for translations—809.01(b)-809.01(b)(iii)
 - of dead or obscure languages—809(b)(iii); 1207.01(b)(vi); 1209.03(g)
 - of document submitted for recording in Assignment Division—503.03(c)
 - of foreign articles—809.01(b)(ii)
 - of foreign registration—1004.01(b)
 - of idiomatic expressions—809.01(b)
 - of matter on specimens—904.06
 - of non-English wording in mark—809-809.02(a)
 - printing of—809.03; 817

Transliteration of non-Latin characters in mark—809-809.02(a)
Treaty or convention relating to trademarks—1001; 1002.03; App. B
TRIPs (Trade Related Intellectual Property portions of General Agreement on Tariffs and Trade)—1210.08; 1210.08(a); App. B
Trust as owner of mark—803.03(e); 803.03(e)(i)
Type of commerce—901.03
Type of mark, registration in correct category—1307
Types of applications—801-801.02(b)
Types of marks—1300 introductory text; 1307
Types of registrations—1601-1601.06

U

U.S. Postal Service, interruptions of—305.04
Unauthorized practice at PTO—608-608.02
Undeliverable correspondence, returned as—403
Unintentional delay
 petition based on—1714.01(e); 1714.01(f)-1714.01(f)(ii)
 statement of—1714.01(e)
 where unintentional delay applies—1714.01(f)-1714.01(f)(ii)
United States Patent and Trademark Office
 reorganization of—1701
 Website—102
Unity of control—714.05(d); 715.03(b); 1201.07-1201.07(b)(iv)
Unpublished decisions—705.05
Unscheduled closing of USPTO—309
Unsigned response to Office action—712.02
Uruguay Round Agreements—1210.08; App. B
Use in commerce
 assertion of—901
 bona fide use in ordinary course of trade—901.02
 commerce that may be lawfully regulated by Congress—901.03
 current, asserted in §1(a) application—901
 date of first—903.02
 definition of—901.01
 not required in §44 application—901; 1009
 not required in §66(a) application—901; 1904.01(d)
 solely by related company—903.05; 1201.03(a)
Use of mark
 as a mark—1202
 solely as a trade name—1202.01
 bona fide use in ordinary course of trade—901.02
 by applicant—1201.01
 by licensee—1201.03(f)
 by predecessor in title—903.05
 by related company—903.05; 1201.03-1201.03(f)
 in commerce (see Use in commerce)
 solely by related company—1201.03(a)

V

Varietal names—1202.12

Verification of application (see *also* Signature)—804-804.05
 declaration in lieu of oath—804.01(b)
 defective—804.01(a); 804.01(b); 804.02
 electronic—611.01(c)
 in a foreign country—804.01(a)(i)
 must be personally signed—611.03; 804.01(b); 804.04; 611.01(b); 611.01(c)
 not required for receipt of filing date—804
 persons who can sign—611.03(a); 804.04
 of §66(a) application—804; 804.05; 1904.01(c)
 of TEAS document—611.01(c); 611.02(a)
 under 28 U.S.C. §1746—804.01(b)
 with oath—804.01(a)

W

Waiver of rules, on petition—1708
Warehouse storage
 access by public to files in—109.02
 of registration files—109.02
Who may practice before Office in trademark matters—602-602.003(e); 611.02
Wholly owned related companies—1201.03(c)
Wines/spirits, misleading indication of origin of—1210.08-1210.08(c)
Withdrawal of attorney—607
Withdrawal of authorization to represent—602.07
Withdrawal of refusal or requirement after appeal—1501.03
World Intellectual Property Organization—1401.02(a); 1900 (introductory text)
World Trade Organization—App. B
Written application, form for filing—802